MIMER MEDICAL COLLEGE, TALEGAON (D), PUNE

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FULL TIME TEACHERS WITH PhD, MD/MS IN HEALTH SCIENCES FOR RECOGNITION AS PhD GUIDES

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Me, the Chancellor, the Vice-Chancellor and the Members of the Executive Council, on the recommendation of the Senate of the University of Poona, Certify that

Shrimati Alka Siranjan Sontakke
having passed the Doctor of Medicine
Examination from B.J. Medical College,

Prine in December 1980, the degree of

DOCTOR OF MEDICINE

(Branch XIII - Biochemistry)

has been conferred on her at Poona, at the Convocation Ceremony held on 26th March 1981.

In Witness thereof are set the seal of the University and the signature of the Wice-Chancellor.

RS Jakunle

Vice-Chancellor



श्रीमती अलका निरंजन रे नरके

वैद्यकवा चस्पति

(जीवरसायनसारा १३- शाला)

ही पदकी, पुणे पेथे दि. उद्घमार्च १९८१ पा दिक्शी झालेल्या पदकीदानसमारंभगसंगी, पदत करण्यात येत आहे.

याची साक्ष म्हणून पुणे विद्यापीठान्या कुलाहेची स्वाक्षरी आणि विद्यापीठानी अधिक मुद्रा येथे अंकित करण्यात येत आहेत.

3000



महाराष्ट् आरोग्य विज्ञान विद्यापीठ

वणी रोड, म्हसरुळ, नाशिक-४२२००४ Vani Road, Mhasrul, Nashik-422004

Phone: 0253-2531837/EPABX:0253-2539100-300/Fax:0253-2539295

E-mail: registrar@muhsnashik.com / Web: www.muhsnashik.com

Ph. No.: 0253-2539292

MUHS /UDC/ Ph.D / 238 /2010

Date: 09/04/2010

To Dr. Sontakke Alka Niranjan Prof. & HOD Dept. Of Biochemistry MAEER MIT's MIMER Medical College, A/P. Talegaon D'abhade, Tal. Maval Pune - 410 507.

Sub: - Recognition as a Ph.D. Guide.

Ref: - Your Application MIMER/PG/88/2009

dated: 27/10/2009.

Sir/Madam,

With reference to your application for Recognition as a Ph.D Guide of this University, I am directed to inform you that on the recommendation of the relevant committee, Hon'ble Vice-Chancellor is pleased to grant you Recognition as a Ph.D Guide of this University in the Subject of Medical Biochemistry (Faculty of Medical) with effect from 11/02/2010 till attaining the age of 65 years.

Kindly note that the recognition granted to you will always be subject to the terms and conditions prescribed by the University from time to time.

Thanking you,

Copy to :-The Principal MAEER MIT's MIMER Medical College. A/P. Talegaon Dabhade, Tal. Maval Pune - 410 507.

Registrar

Yours.

PRINCIPAL

MIMER MEDICAL COLLEGE for information pleasaLEGACN DABHADE

PUNE - 410507



the Chancellor, Dice-Chancellor and Members of the Management

Council, on the recommendation of the Academic Council
of the Shibaji Unibersity, certify

that the withinsigned

And Khanderao Bondade of the Dr. V.M. Medical College having been examined for the Degree of Doctor of Medicine (Physiology Branch), and on being found qualified for the same, in November 1996.

> the Degree of Doctor of Medicine

has been conferred on him at Kolhapur, on the twentysecond day of the month of January in the year one thousand nine hundred and ninety eight.

In **Testimony** whereof are set the Seal of the University and the Signatures of the Registrar and the Chancellor.

NEGISTRAR, Sd.uvoji University, Kolhapur B. P. Salkale

Registrar

True Copy

P. E. Alexander

Sd-

True Copy for hancellon

Prof.& Head Dept.Of Physiology MIMER Medical College.



MAEER MIT PUNE'S MAHARASHTRA INSTITUTE OF MEDICAL EDUCATION AND RESEARCH MEDICAL COLLEGE (ESTD - 1995) DR. BHAUSAHEB SARDESAI TALEGAON RURAL HOSPITAL

Accredited by NAAC with 'A' Grade

Talegaon Dabhade, Pune 410507, Maharashtra, India.

■ Tel.: 02114 - 308300 ■ Fax: 02114 - 223916.

■ Website : www.mitmimer.com
■ Email : info@mitmimer.com.

Refno. MIMER | HR | Phol | Exp Cer | 1516 (9) 108 /2021

Dale - 04/08/2021

CERTIFICATE

This is to certify that **Dr.Anil K. Bondade** had beeen working in the Dept. of Physiology from 02/05/2008 to 02/12/2017. During the academic year 2016 -2017 he fulfilled the eligibility criteria for **Ph.D Guide** in the department of Physiology, MIMER Medical College & Dr. BSTR Hospital Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended - 2016) by the Maharashtra University of Health Sciences, Nashik.

WIMER WE SOUTH OF SOU

Principal
MIMER Medical College
Talegaon Dabhade

Principal
MIMER Medical College
Talegaon Dabhade

MG. the Mancoller the Wies Chancollor and the Members of the Management Council and and the Academia. Council of the University of Fune coalify having been a commend and found duly qualified for the Logice of Dactor of Medicine (Bund _ Biochemistry The said degree has been comfored on her. In bestimory whose of is set the said University पुणो

University आन्ही, पुणे विद्यापीठाचे कुलपति, कुलगुउ आणि व्यवस्थापन परिषद व विद्या प्रिषद सदस्य. प्रमाणित करितो की, हैं / या ची हो मोइकल का है गा 27 2002 वैद्यक निष्णात *जीवयसम्बन्धास्त्र* पदवी परीक्षा उन्तीर्ण झाल्याबहल त्यांना श्री पटची

प्रदान करण्यात येत आहे.

अंकित करण्यात येत आहे.

याची साक्ष रहणून विद्यापीठाची अधिकृत मुदा शेथे

J. S. Kralnakon

अयो द को खम्बर

GUL January 2003

Vice Chancellor



महाराष्ट्र आरोग्य विज्ञान विद्यापीठ, नाशिक MAHARASHTRA UNIVERSITY OF HEALTH SCIENCES, NASHIK

र्दिंडोरी रोड, म्हसरुळ, नाशिक-४२२००४ Dindori Road, Mhasrul, Nashik-422004

Phone: 0253 - 2539196/206, 0253 - 6659196/206 Email: udc@muhs.ac.in Website: www.muhs.ac.in

डॉ.सुनिल ह. फुगारे

+

Dr. Sunil H. Fugare

MSc.Ph.D.

_{एमएस्सी}. गोएच.डी. उपकुलसचिव

Deputy Registrar

O.No.MUHS/UDC(Ph.D.)/Guide /255/2020

Date 62/12/2020

By Email

To,
The Dean / Principal,
Maharashtra Institute of Medical Education
And Research, Talegaon General Hospital
Talegaon Dabhade, Pune – 410 507.
Email – info@mitmimer.com

Subject

: Recognition as Ph.D. Guide...

Reference

: 1) Ph.D. Direction No. 01/2020

- 2) Board of Research Meeting Resolution No. 02/2020, dated -19/10/202
- 3) Your Application dated 20/12/2019
- 4) MUHS/UDC(Ph.D.)/Guide/174/2020 dt.-05/11/2020
- 5) Your letter no. MIMER/Ph.D./2069/2020 dt. 17/11/2020

Sir/Madam,

With reference to the above cited subject, I am directed to inform you that Board of Research its meeting has passed the Resolution No. 02/2020, dated 19/10/2020 to grant recognition as Ph.D. Guid to the following teacher of your College/ Institute, subject to the terms & conditions of appointme order, for guiding the Ph.D. student in the subject mentioned against his/her/their name.

Sr. No.	Subject	Name of the Teacher	Designation	Status of recognition as Ph.D. Guide
1	Biochemistry	Dr. Pratinidhi Shilpa Aditya	Professor	Approved, w.e.f. 02/12/2020, onward

Kindly note that the recognition granted by the University is valid till the above said teacher is the service of the said teaching college/institute or till attaining the age of superannuation whichev happens earlier.

The above teacher is required to attend the Research Methodology Workshop conducted by the University or any other Centre authorized by the University.

We, the CHANCELLOR, the VICE-CHANCELLOR and the MEMBERS of the EXECUTIVE COUNCIL, on the recommendation of the SENATE of the UNIVERSITY of POONA certify that

of B. J. Medical College, Bune

having been examined for the Degree of

Doctor of Medicine

(Branch III - Pathology October 1985

and having passed in the examination, the said degree has been

on twentysecond, March

One Thousand Nine Hundred eightquix
IN TESTIMONY whereof are set the Seal of the
University and the Signature of the
Vice- Chancellor.

University
Of
Journa

काळ उष

ऑक्टोबर १९८५

विद्यातावाचरपती ही परीक्षा बी.जे. मेडिकल कॉलेज, पुणेमधून

उत्तीर्ण झाल्याबद्दल त्यांना पुणे विद्यापीठाचे कुलपती, कुलगुरू, कार्यकारिणीचे सदस्य, आणि विधिसभेचे सदस्य यांच्या अनुमतीने

वैद्यक्तवाचस्पती

(रोगचिकित्साज्ञास्म - 3- शाखा)

ही पदवी, पुणे येथे दि. इस्मार्च १९८६ या दिवशी झालेल्या पदवीदानसमारंभप्रसंगी, प्रदत्त करण्यात येत आहे. याची साक्ष म्हणून पुणे विद्यापीठाच्या कुलगुर्क्ची स्वाक्षरी आणि विद्यापीठाची अधिकृत मुद्रा येथे अंकित करण्यात येत आहेत.

Cemia.

VICE- CHANCELLOR

19. 20. 185

कुलगुरू



MAEER MIT PUNE'S MAHARASHTRA INSTITUTE OF MEDICAL EDUCATION AND RESEARCH **MEDICAL COLLEGE (ESTD - 1995)** DR. BHAUSAHEB SARDESAI TALEGAON RURAL HOSPITAL

Accredited by NAAC with 'A' Grade

Talegaon Dabhade, Pune 410507, Maharashtra, India.

■ Tel.: 02114 - 308300 ■ Fax: 02114 - 223916.

■ Website : www.mitmimer.com ■ Email : info@mitmimer.com.

Ref No. MIMER/HR/Phd/Exp Cer/ 1516 (1) 08/2021 Date: 04/08/2021

CERTIFICATE

This is to certify that Dr. Sneha Joshi fulfills the eligibility criteria to become a Ph.D Guide in the department of Pathology, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.



Principal MIMER Medical College Talegaon Dabhade

PRINCIPAL MIMER MEDICAL COLLEGE TALEGAON DABHADE PUNE -410507



Me,

the Chancellor, Vice-Chancellor and Members of the Management Council of Dr. Babasaheb Ambedkar Marathwada University Certify

Acethoric	that the withinsigned
Smita Shripadra	o Pathak
having been examined and found duly	qualified for the Degree
having been examined and found duly of Doctor of Medicine (Path in April/May 1997 . The Degree	rology Branch/
in April/May 1997 . The Degr	ee of

Lord Late

Poctor of Medicine

	/	Tathol	ogy	_Branch	/
has beer	n conferred	don_he	r_at_	Aurangaba	d, on the
ninetee	enth day	d on <u>&re</u> of the month	of De	cember_	in the year
		re hundred			
		eveof ave set to			
and the	signature	of the said	Vice.	Chancellor	:

Seat No. 2060. Place: Aurangabad Date of issue of the Degree Certificate 30 MAG 1998

Vice-Chancellow

MAEER MIT PUNE'S

MAHARASHTRA INSTITUTE OF MEDICAL EDUCATION AND RESEARCH MEDICAL COLLEGE (ESTD - 1995) DR. BHAUSAHEB SARDESAI TALEGAON RURAL HOSPITAL

Accredited by NAAC with 'A' Grade

Talegaon Dabhade, Pune 410507, Maharashtra, India.

■ Tel.: 02114 - 308300 ■ Fax: 02114 - 223916.

■ Website : www.mitmimer.com
■ Email : info@mitmimer.com.

Ref No. MIMER | HR | Phd | Exp Cer | 1516 (2) | 08 | 2021

MIMER MEDICAL COLLEGE

Date: 04 08 2021

CERTIFICATE

This is to certify that **Dr. Smita Pathak** (Bhide) fulfills the eligibility criteria to become a **Ph.D** Guide in the department of **Pathology**, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.

TALEGAON CO DABHADE OF A10507

Principal
MIMER Medical College
Talegaon Dabhade

PRINCIPAL ***
MIMER MEDICAL COLLEGE
TALEGAON DABHADE.
PUNE -410507



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महाराष्ट्र आरोग्य विह्यान विद्यापीठ, माशिक MAHARASHTRA UNIVERSITY OF HEALTH SCIENCES, NASHIK

विडोरी रोड, म्हसरुळ, नाशिक - ४२२००४ Dindori Road, Mhasrul, Nashik - 422004 Tel: (0253) 2539206/2539196, Fax: (0253) 2539197

Website: http://www.muhs.ac.in, E-mail: udc@muhs.ac.in

राजेत हो. शहाणे

सहा.कुलसचिव

Rajendra C. Shahane Asst. Registrar

O.No.; MUHS/UDC/Ph.D/E-1/ 867 /2017

Date: 03 /07 /2017

By Email & By Post

To. The Dean / Principal MAEER MIT PUNE'S Maharashtra Institute of Medical Education & Research Dr. Bhausaheb Sardesai Talegaon Rural Hospital, P.O. Talegaon General Hospital, Talegaon Dabhade, Prine -410507 Email - info@mitmimer.com

Sub: - Recognition as Ph.D Guide ...

Ref:- 1) Comments received dtd. 23/06/2017

2) Your letter no. MIMER/Ph.D/44/2017 dtd.09/01/2017

3) Ph.D Direction no. 04/2015 (Amended in 2016)

Sir/Madam.

With reference to the above cited subject, I am directed to inform you that in view of the norms prescribed as per the provision u/s 29 (2) of (i) of MUHS Act, 1998 & clause 07 (2) (a) (i) of Direction No. 04/2015 (Amended in 2016) Hon'ble Vice Chancellor is pleased to grant recognition as Ph.D Guide to the following teacher of your College/ Institute, subject to the terms & conditions of appointment order, for guiding the Ph.D student in the subject mentioned against his/her/their name.

Sr. No.	Subject	Name of the Teacher	Designation	Status of recognition as Ph.D Guide
1.	Community Medicine	Dr. Sanjeev Vasantrao Chincholikar	Professor	Approved w.e.f. 23/06/2017, onwards,

Kindly note that the recognition granted by the University is valid till the above said teacher is in the service of the said teaching college/institute/or till attaining the age of superannuation, whichever happens earlier.

(Page 02)

The above teacher is required to attend the Research Methodology Workshop conducted by Regional Centre, Pune of this University or any other centre authorised by the University.

You are requested to handover the copy of this letter to the concerned teacher for further necessary action.

Asst. Registrar University Dept. Cell

[Note:-

1. In case, at later stage, if it is found that the information furnished in the PhD recognition form by any Guide is incorrect, PhD Guide Recognition granted by the University will stand cancelled.]

Copy to:

Dr. Sanjeev Vasantrao Chincholikar
Professor, Dept. of Community Medicine
MAEBR MIT PUNE's
Maharashtra Institute of Medical Education & Research
Dr. Bhausaheb Sardesai Talegaon Rural Hospital,
P.O. Talegaon General Hospital,
Talegaon, Dabhade, Pune -410507
Email ID- aruna@mitmimer.com
Mob - 9890626385

We the CHANCELLOR the VICE-CHANCELLOR and the MEMBERS of the EXECUTIVE COUNCIL,

on the recommendation of the SENATE of the UNIVERSITY of POONA certify that the Degree of

Poctor of Philosophu

has been conferred at PUNE, at the convocation ceremony 16th October 1987

Who has been found

duly qualified for the same

IN TESTIMONY whereof are set the Seal of the University and the Signature of the Chancellor and Vice-Chancellor. पद्मावाचस्पती

या पदवीस पात्र ठरल्यामुळे त्यांना पुणे विद्यापीठाचे कुलपती, कुलगुरू, कार्यकारिणीचे सदस्य,

जामकर अरूण व्यक्टेश

आणि विधिसमेचे सदस्य यांच्या अनुमतीने

प्रधानाचस्पती

ही पदवी, पुणे येथे दि. १६ ऑक्टोबर १९८७ या दिवशी झालेल्या पदवीदानसमारंभप्रसंगी, प्रदत्त करण्यात येत आहे. याची साक्ष म्हणून

पुणे विद्यापीठाच्या कुलगुरंची स्वाक्षरी आणि विद्यापीठाची अधिकृत मुद्रा आणि कुलपतींची स्वाध

येथे अंकित करण्यात येत आहेत.

19. 21. 18

VICE CHANCELLOR

महाराष्ट्र आरोग्य विज्ञान विद्यापीठ, नाशिक

MUHS

MAHARASHTRA UNIVERSITY OF HEALTH SCIENCES, NASHIK

विडोरी रोड, ग्हरारुळ, नाशिक - ४९९००४ Dindori Road, Mhaerul, Nashik - 422004

Tel: (0253) 2539198/208, 6659198/206,

Website : www.muhs.ac.in, E-mail : udc@muhs.ac.in

र्ह्मा, कालिवास व. चव्हाण

एम.बी.बी.पस., एभ.बी. (न्वाववैद्यकशास्त्र)

कलसचिव

Dr. Kalidas D. Chavan

M.B.B.S., M.D.(Forensic Medicine)

Registrar Date: 14/02/2019

O. No. MUHS/UDC/Ph.D.Guide/E-1/040/2020

To.

The Dean / Principal.

MIMER Medical College,

Talegaon Dabhade, Tq: Maval,

Dist- Pune - 410 507.

Email - info@mitmimer.com

Subject

Recognition as Ph.D. Guide...

Reference

1 1) Application for Recognition as Ph.D. Guide Date = 14/02/2020.

University Ph.D. Direction No. 01/2020.

3) University letter No. MUHS/UDC/PFL-03/E-11995/2015, Dt. 11/09/2015.

Sir/Madam,

With reference to the above cited subject & References, I am directed to inform you that in view of the norms prescribed as per the provision u/s 29 (2) of (i) of MUHS Act, 1998 & clause 9 (3) of Direction No. 01/2020 Hon'ble Vice Chancellor is pleased to grant recognition as Ph.D. Guide to the following teacher of your College/ Institute, subject to the terms & conditions of appointment, for guiding the Ph.D. students in the subject mentioned against his/her/their name.

Sr. No.	Subject Name of the Teacher		Designation	Status of recognition as Ph.D Guide	
1	Surgical	Dr. Arun Vyankatesh	Professor	Approved	
	Oncology	Jamkar	Professor	w.e.f. 14/02/2020, onwards	

Kindly note that the recognition granted by the University is valid till the above said teacher is in the service of the said teaching college/institute or till attaining the age of superannuation whichever happens earlier.

You are requested to handover the copy of this letter to the concerned teacher for further necessary action.

0-1

Registrar

Note:-

- 1. In case, at later stage, if it is found that the information furnished in the Ph.D. recognition form is incorrect, Ph.D. Guide Recognition granted by the University will stands cancelled.
- 2. It is required that the Dept. of Surgical Oncology at college / Institute should be recognized place of research of Ph.D.]

Copy to:

Dr. Arun V. Jamkar

Professor

MIMER Medical College,

Talegaon Dabhade, Tq: Maval,

Dist - Pune - 410 507.

Email - jamkar@gmail.com

Mobile: 9158001402



Whe, the Chancellor, the Vice-Chancellor and the Members of the Executive Council, on the recommendation of the Senate of the University of Poona, Certify that

Shri <u>Ramchandra Marayan Bharadwaj</u>
having passed the Master of Surgery
Examination from <u>B.J. Medical College, Pune</u>
in <u>December</u> 1979, the degree of

MASTER OF SURGERY

(Branch I-General Surgery)

has been conferred on him at Poona, at the Convocation Ceremony held on 30th March 1980.

In Witness thereof are set the seal of the University and the signature of the Sice-Chancellor.

RS Fakunle Vice-Chancellor

श्री रामचंद्र नारायण भारद्वाज

डिसेंबर ९९७९ **या वर्षी शल्यतंत्रकिणात ही परीक्षा**बी जे मेडिकत कॅलिज प्रेमधून

उत्तीर्ण झाल्यामुळे त्यांना पुणे विद्यापीठाचे कुलपति, कुलगुरु, कार्यकारिणीचे सदस्य, आणि विद्यसमेचे सदस्य यांच्या अनुमतीने

श्चतंत्रनिष्णात

(साधारण शत्यचिकित्सा -१-शासा)

ही पदकी, पुणे येथे दि. ३० मार्च १९८० या दिक्की झालेल्या पदकीदानसमारंभपसंगी, पदन करण्यात येत आहे.

याची साक्ष म्हणून पुणे विद्यापीठाच्या कुलगुद्धी स्वाक्षरी आणि विद्यापीठाची अधिकृत मुद्रा येथे अंकित करण्यात येत आहेत.

MAEER MIT PUNE'S



MIMER MEDICAL COLLEGE

MAHARASHTRA INSTITUTE OF MEDICAL EDUCATION AND RESEARCH MEDICAL COLLEGE (ESTD - 1995)

DR. BHAUSAHEB SARDESAI TALEGAON RURAL HOSPITAL

Accredited by NAAC with 'A' Grade

Talegaon Dabhade, Pune 410507, Maharashtra, India.

■ Tel.: 02114 - 308300 ■ Fax: 02114 - 223916.

■ Website : www.mitmimer.com ■ Email : info@mitmimer.com.

Ref No. MIMER | HR | Phd | Exp Cer | 1516 (4) | 08 | 2021

Date: 04/08/2021

CERTIFICATE

This is to certify that Dr. R. N. Bharadwaj fulfills the eligibility criteria to become a Ph.D Guide in the department of Gen.Surgery, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.

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We, the CHANCELLOR, the VICE-CHANCELLOR and the MEMBERS of the EXECUTIVE COUNCIL, on the recommendation of the SENATE of the UNIVERSITY of POONA certify

that <u>Gupta</u>

Rajendraprasad

having been examined for the Degree of

Master of Surgery

(Branch <u>III- Ophthalmology</u>

in ______October 1986

and having passed in the examination, the said degree has been conferred on him at PUNE

on twentyeighth, March

One Thousand Nine Hundred <u>eightyserven</u>
IN TESTIMONY whereof are set the Seal of the
University and the Signature of the
Vice—Chancellor.



University

A

गुप्ता राजेद्रप्रसाद ऑक्टोबर**् १९**८६ **या वर्ष**

रिल्यतंत्रिणात

ही परीक्षा अर्मेंड फोर्सेस में डिकर कॉलेडा, पुणेमधून

उत्तीर्ण झाल्याबद्दल त्यांना पुणे विद्यापीठाचे कुलपती, कुलगुरू, कार्यकारिणीचे सदस्य, आणि विधिसभेचे सदस्य यांच्या अनुमतीने

शिल्यतंत्रजिष्णात

(नेशरोगचिकित्सा - 3- शाखा)

ही पदवी, पुणे येथे दि. ब्हमर्च १९८७ या दिवशी झालेल्या पदवीदानसमारंभप्रसंगी, प्रदत्त करण्यात येत आहे. याची साक्ष म्हणून पुणे विद्यापीठाच्या कुलगुरूची स्वाक्षरी आणि विद्यापीठाची अधिकृत मुद्रा येथे अंकित करण्यात येत आहेत

19. 21. 185

VICE-CHANCELLOR

कुलग्रू



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■ Email : info@mitmimer.com.

Ref No. MIMER | HR | Phd | Exp. Cer | 1516(8) | 08 | 2021

Date: 04 | 08 | 2021

CERTIFICATE

This is to certify that Dr.Rajendra Prasad Gupta fulfills the eligibility criteria to become a Ph.D Guide in the department of Ophthalmology, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.



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A GPUR UNIVERSITY

A GPUR UNIVER

Master of Surgery

(Faculty of Medicine)

This degree of Master of Surgery is awarded to Sachin Prabhakar Naik

having been found to be duly qualified in the written examination, clinical, practical and viva-voce examinations and on examination of the thesis in this University in the Examination held in Summer 1998

Nanpur October 28, 1999 Tssahane Mice-Chancellar

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Date: 04/08/2021

CERTIFICATE

This is to certify that Dr. Sachin Naik fulfills the eligibility criteria to become a Ph.D Guide in the department of Gen.Surgery, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.

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Me.

the Chancellor, Pice-Chancellor and Members of the Erecutive Council of the

Marathwada University

		•	ertify		
y				that the	withinsigned
Se	ulhir_	Bhaskar	rao Die	ke	
having be	en examin	red for i	the Degree	of Master	of Surgery
(General Sh	urgerej B	ranch]in_	December	_1980 . th	he Degree of
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has been					ad, on the
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one thousan	d nine	hundred	and_eight	ione	

In Testimony whereof are set the Seal of the said University and the signature of the said Vice-Chancellor.

Seat No. 38

Place: Aurangabad

Date of issue of the

Degree Cortificate 1 8 DEC 1981

B. R. Bhonele

Vice-Chancellor



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Date: 04/08/2021

CERTIFICATE

This is to certify that **Dr. Sudhir Dube** fulfills the eligibility criteria to become a **Ph.D Guide** in the department of **Gen.Surgery**, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.



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Artional Board of Axaminations

New Delhi, India

hereby certifies that

Rani Sushma

has pursued the prescribed course of postgraduate training and has demonstrated her proficiency at an examination held in Robember, 2000 to the satisfaction of the Board.

Accordingly on this Ninth day of December in the year Two Thousand One the Board admits her at the Convocation held at Patna as a

Diplomate of the National Board

for the practice of

Obstetrics & Gynaecology

scharpel

Controller of Exam.

President

Univer	sity
Of Of	
Hutte	We, the Chancellor, the Vice Chancellor and
University of Poona)	the Members of the Management Council and the Academic Council of the University of Pune certify
	that Rani Sushma of B. J. Medical College
3000	having been examined and found duly qualified
THE THE	for the

Aiploma in Gynaecology & Obstetrics

HT. Risquellor Vice Chancellor

5th January 1999

Chancellor

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Ref No. MIMER | HR | Exp Cer | 1516 (6) | 08 | 2021

Date: 04/08/2021

CERTIFICATE

This is to certify that **Dr. Sushma Sharma** fulfills the eligibility criteria to become a **Ph.D Guide** in the department of **Obstetrics & Gynecology**, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.



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aving been examined for the Degree of

doctor of Medicine

(Branch III - Dermatology Leprory
October 1987

and having passed in the examination, the said degree has been conferred on her at PUNE

usand Nine Hundred Sightweight

10NX whereof are set the Seal of the
University and the Signature of the

Vice-Chancellor.

University Poins



धर्माधिकारी नीता जयंत ऑक्टोबर १९८७ या वर्ष

ही परीक्षा बी जे मेरिकत कॉलेन, पुणेमह ज्ञीण, झाल्याबद्दल त्यांना पुणे विद्यापीठ कुलपती, कुलगुरू, कार्यकारिणीचे सदस्य, आणि विधिसभेचे सदस्य यांच्या अनुमतीने

वैद्यक्तवाचस्पती

(त्वंग्रोगविद्यान आणि न्द्र- शाखा) ब्यद्वा-कुछविद्यान

ही पदवी, पुणे येथे दि. न्यप्रमार्च १९० या दिवशी झालेल्या पदवीदान समारभप्रसंग्रं प्रदत्त करण्यात येत आहे. याची साक्ष म्हणून पुणे विद्यापीठाच्या कुल्युसंची स्वाक्षरी विद्यापीठाची अधिकृत मुद्रा येथे अंकित करण्यात येत आहेत.

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Ref No. MIMER | HR | Phd | Exp(er) 1516(7) 08/2021 Date: 04/08/2021

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This is to certify that **Dr. Neeta Gokhale** fulfills the eligibility criteria to become a **Ph.D Guide** in the department of **Skin & V.D**, MIMER Medical College & Dr. BSTR Hospital, Talegaon Dabhade, as per the rules and regulations mentioned in the Direction No.4/2015 (Amended -2016) & Direction 1/2020 by the Maharashtra University of Health Sciences, Nashik.

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MAHARASHTRA UNIVERSITY OF HEALTH SCIENCES, NASHIK

DIRECTION NO.:- 04/2015 (Amended-2016)

PROCEDURE AND NORMS GOVERNING AWARD OF Ph.D. DEGREE

WHEREAS, The Maharashtra University of Health Sciences Act, 1998 (Maharashtra Act No X of 1999) has been passed by the State Legislature to establish the Maharashtra University of Health Sciences for the purpose of ensuring proper and systematic instruction, teaching, training and research in Modern Medicine, Indian system of Medicine and Homoeopathy and to have a balanced growth in the Health Sciences;

AND WHEREAS, as per sub - section (3) of section 6 of The Maharashtra University of Health Sciences Act, 1998 (Hereinafter referred to as "the said Act") all Colleges of Health Sciences previously affiliated to erstwhile non-Agricultural Universities in the State of Maharashtra, shall be deemed to be affiliated to Maharashtra University of Health Sciences, Nashik (hereinafter referred to as "the University");

AND WHEREAS, the Government of Maharashtra re-affiliated Post Graduate courses from previously affiliated erstwhile non-Agricultural Universities to the. University as per the Ordinance No. IX /2005, dated the 31/10/2005;

AND WHEREAS, it has become necessary to prescribe norms/rules to undertake quality research in each branch of Health Sciences, including interdisciplinary studies leading to award of Ph.D. degree of the University;

AND WHEREAS, it is also necessary to regulate admission and registration of students for Ph.D. degree by the University;

AND WHEREAS, the University Grants Commission has prescribed minimum standards and procedure for award of Ph.D. Degrees by issuing, the U.G.C. (minimum standards and procedure for awards of M. Phil / Ph.D. Degree) regulations –2016, notified in The Gazette of India, dated the 05th, May 2016, as amended from time to time;

AND WHEREAS, Some Central Councils of Health Sciences have prescribed the norms for various Ph.D. Courses;

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TALEGAON DABHADE AND WHEREAS, to prescribe the procedure regarding award of Ph.D. Degrees is a subject matter of Ordinance, and at present there is no Ordinance regarding award of Ph.D. degree in force; and since, making of Ordinance is a time taking process;

NOW, THEREFORE, I Professor Dr. Deelip Mhaisekar, Vice-Chancellor of Maharashtra University of Health Sciences, in exercise of powers conferred upon me under sub-section (8) of section 16 of the .Act, and in the repeal of the Direction No.04/2015 (amended in 2016) promulgated by repealing previous Direction No.8/2012 (amended in 2013), issue the following Direction,-

1. Short title and commencement.-

- (1) This Direction may be called the Procedure and norms governing Ph.D. degree Direction, 2016.
- (2) It shall come into force with effect from the date of its promulgation.

2. Definitions .-

- (1) (a) 'Interdisciplinary Research' means research conducted by eligible candidate between two or more disciplines or Departments of Health Sciences.
 - (b) 'Guide/Research Supervisor/Research Supervisor' means the recognized Research Guide/Research Supervisor/Supervisor of the. University or the erstwhile University to supervise the Ph.D. Scholar for the research,
 - (c) 'Self Guide or Research Supervisor' means the Guide or Research Supervisor for the research scholar who possesses the eligibility criteria prescribed under this direction and who is allowed to pursue his research under self guidance.
 - (d) 'Co-Guide or Co-Research Supervisor' means Co-Guide or Co-Research Supervisor, who is an expert in the area related to the topic of the research scholar. Co-Guide or Co-Research Supervisor shall be similar to the Guide or Research Supervisor, except that, he could be either from same speciality or from different speciality, from the same institution or a different institution having area of expertise related to the topic selected by the Ph.D. Scholar.
 - (e) 'Full-time Ph.D. Scholar' means the Research Scholar who, on deputation from another College or Institution and who is being supported with or without a Fellowship or Scholarship, pursues his research work only, leading to Ph.D. degree as a Full-time Research Scholar, without performing any

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other job or assignment,

- 'Part Time Ph.D. Scholar' means the Research Scholar who is already in Service as a faculty member or employee or a scientist in the same (f) Institutions or a different Institution, or who pursues his research work leading to Ph.D. degree as a Part Time Research Scholar in a Ph.D. Study Centre recognized by the said University, in addition to performing his other duties as teacher or scientist or administrator, etc., assigned to him,
- 'Section' means section of the Act, (g)
- (2) Words or expressions used but not defined in this Direction shall have the same meanings, respectively, assigned to them in the. Act,

3. Objectives .-

- (1) To award the Degree of Doctorate in Philosophy (Ph.D.), on regular basis, in the subject of Health Science, in of the faculties of Medicine, Dentistry, Unani, Homoeopathy and Allied Health Avurved and Interdisciplinary Studies and more particularly described in the Annexure-I and the subjects as may be specified and updated by the University, incorporating it in the list contained in the said Annexure, from time to time.
- (2) To regulate the Ph.D. work process and streamline the Ph.D. research procedure.
- (3) To strive to promote competitive merit and excellence as the sole guiding criterion in all research activities of Ph.D. scholar.
- (4) To promote an Interdisciplinary research.

4. Nomenclature of Degree.-

After fulfilling all the requirements enumerated herein after, the Degree shall be awarded, namely, the Doctor of Philosophy (Ph.D.) in the concerned discipline or subject. The degree certificate shall state the subject of speciality and the name of the Faculty. The Scholar shall be eligible to get the. Degree in the concerned faculty except in the case of Interdisciplinary studies.

> MIMER MEDICAL COLLEGE TALEGAON DABHADE PUNE -410507

Constitution of Board of Research. (1) There shall be a Board of Research for each faculty of Health Sciences, consisting of following members, namely,-

- (a) the Vice-ChancellorChairman,
- (b) Pro Vice-ChancellorMember or Chairman in the absence of the Vice Chancellor as the case may be,
- (c) Dean of the concerned Faculty...... Member or Chairman in the absence of Vice-Chancellor and Pro Vice-Chancellor,
- (d) Chairperson of the Board of Studies of the concerned Post Graduate Subject or Group of subjectsMember,
- (e) one Ph.D. Guide or Research Supervisor from concerned faculty from affiliated College or institution, nominated by the Vice-ChancellorMember,
- (g) one eminent Statistician, nominated by the Vice-ChancellorMember,
- (h) The RegistrarMember-Secretary.
- (3) For expert opinion on any relevant matter, in addition to the above designatories, the Vice-Chancellor shall have power to appoint the experts on Board of Research, in the capacity of invitee-members.
- (4) Tenure and Meetings of Board of Research.-
 - (a) the tenure of the Board of Research shall be three years from the date of its first meeting and shall be reconstituted after completion of the tenure,
 - (b) meetings of the Board of Research shall be held at least twice in a year.

6. Duties of Board of Research .-

- (1) The Board of Research shall perform the following duties.-
 - (a) to recognize the Ph.D. Guide or Research Supervisor,
 - (b) to formulate Rules to recognize the Departments or Institutions for Research work leading to Ph.D. Degree,

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- to review them from time to time,
- (d) to prepare the list of teachers of internal and external examiners or referees, who are recognized as Ph.D. Guides or Research Supervisors, for onward submission under clause(a) (5) of section 31 to the Committee,
- (e) to decide about priority areas of research by incorporating the vision of country and to publish the list of areas of priority research,
- (f) to perform any other duty, as assigned by Statute or Ordinance or Direction or Rules or Regulations, from time to time,
- (g) to prepare Guidelines for checking the plagiarism in the research work and verify the same through plagiarism software or any other policy decide by the University, from time to time.

7. Research Guide or Research Supervisor .-

(1) The Eligibility criteria of Research Guide or Research Supervisor recognized by the erstwhile Universities in Health Science disciplines,-

The Ph.D. Guide or Research Supervisor recognized by the erstwhile Universities in Health Sciences disciplines shall be deemed to be recognized as Guide or Research Supervisor by this University,-

Provided that, such Guide or Research Supervisor shall complete seven days training of Research Methodology Workshop from the Centre recognized by the University or from the Institution of Medical Education Technology and Teachers Training, The Pune Regional Centre of the said University or any other place recognized by the University as such center. However, this seven days training shall be exempted to such Research Guide or Research Supervisors who have published at least five Research Papers in the National or International Indexed Journal(s).

- (2) The Eligibility criteria of Ph.D. Guide or Research Supervisor for all faculties under Health Sciences disciplines shall be as under,-
 - (a) Full time regular Teachers with Post Graduate Qualification:
 - (i) For Medical Faculty, any Full time regular teacher who has minimum fifteen years approved teaching experience as Assistant Professor and above, after obtaining a Post Graduate degree, out of which minimum ten years as a Post Graduate recognized teacher in

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-

- the concerned subject or allied subject (if applicable) and should have published at least five Research Papers in the National or International Indexed Journals,
- (ii) for other than Medical Faculty, any Full time regular teacher who has minimum ten years approved teaching experience in the capacity of Assistant Professor and above rank, after obtaining Post Graduate degree, out of which minimum five years as a Post Graduate recognized teacher in the concerned subject or allied subject (if applicable) and also should have published at least five Research Papers in the National or International Indexed or Scientific Journals,
- (b) With full time regular teachers with Post Graduate and Ph.D. Qualification for all faculties,any Full time regular teacher possessing Ph.D. Degree and who shall

have minimum ten years approved teaching experience in the capacity of Assistant Professor and above rank, after obtaining Post Graduate degree and should have published at least five Research Papers in the National or International Indexed or Scientific Journals,

- (c) any expert or scientist working in the recognized Research Institution or Health Science Industry, having Ph.D. qualification in the concerned speciality with ten years research experience and should have published at least five Research Papers in the National or International Indexed or Scientific Journals.
- (3) Eligibility criteria for Co-Guide or Research Supervisor,-Any recognized Guide or Research Supervisor of the said University, or any other University, any expert in the concerned field as approved by the University, shall be the Co-Guide or Research Supervisor.
- (4) application and other conditions,-
 - (a) any eligible teacher or expert or scientist intending to become Ph.D. Guide or Research Supervisor in Health Sciences shall apply in the format at Annexure-II along with the required documents, through the Dean or Principal or Director of the University affiliated College or any University approved or recognized Institution such as Institution of

PRINCIPAL

- higher learning, Research or specialized study other than recognized Institution or Health Sciences related Industries or Health Centre or Laboratories,
- (b) the approved or recognized Ph.D. Guide or Research Supervisor of the University shall be eligible to Guide or Research Supervisor Ph.D. scholars till he attains the age of seventy years, or as prescribed as may be the University or respective Central Councils, from time to time, however, three years prior to the maximum age limit of the Ph.D. Guide or Research Supervisor, fresh registrations of Ph.D. Scholars shall not be permitted,
- (c) it shall be the duty or responsibility of the Ph.D. Guide or Research Supervisor and College or Recognized Institution to inform the University, once the Guide or Research Supervisor ceases to be the Guide or Research Supervisor after attaining maximum age limit. It shall also be the duty or responsibility of the College or Institution to inform regarding the transfer, resignation or absence from the work due to any other reason. Accordingly, the list of recognized Ph.D. Guide or Research Supervisors shall be updated, from time to time,
- (d) all the Guide or Research Supervisors shall normally be the residents within the jurisdiction of the University,
- (e) a Guide or Research Supervisor who is a professor, at any given point of time, can not supervise more than eight candidates. An Associate Professor as Guide or Research Supervisor can guide up to maximum of six Ph.D. Schollars and an Assistant Professor as Guide or Research Supervisor can guide up to maximum of four Ph.D. Scholars. Whenever the number of candidates registered under the Guide or Research Supervisor has reached the maximum limit. The Guide or Research Supervisor shall become eligible to supervise another Ph.D. candidate only after the submission of thesis by any one of the Ph.D. candidates already registered under him,
- (f) a Ph.D. Guide or Research Supervisor who has passed Post



Graduation in one specialty and further completed a Super Specialty Degree is eligible to be considered as Ph.D. Guide or Research Supervisor in his concerned specialty of Post Graduation, as well as, the subject of specialization during his Super Speciality degree. However, he shall be eligible to Guide or Research Supervisor, not more than total six students, including both the specialities referred above. For subjects in other faculties, like Dentistry, Ayurved and Unani, Homoeopathy, Allied and Interdisciplinary Studies, the concerned Guide or Research Supervisor shall be required to give option for Allied Subjects, as per the Council's Regulations or Rules prescribed by the University, from time to time.

- (g) the application may be submitted for the recognition as Ph.D. Guide or Research Supervisor and Co-Guide or Research Supervisor throughout the year.
- (h) all such applications received by the University for seeking recognition as such Guide or Research Supervisor shall be placed before the Board of Research for their approval or further necessary action. After obtaining Recognition as Ph.D. Guide or Research Supervisor, the research scholars shall be allotted from the valid waiting list, if any.
- (i) the Co-Guide or Research Supervisor may be approved by the Vice-Chancellor after the request of the student justifying the need of Co-Guide or Research Supervisor and the consent of his Guide or Research Supervisor, along with a short note from the Guide or Research Supervisor about the necessity of Co-Guide or Research Supervisor for the Ph.D. The application form of Co-Guide or Research Supervisor to be submitted as per <u>Annexure-III</u> and forwarded through the Dean or Principal or Director of the College or Institution.
- (j) The age of superannuation of Ph.D Guide or Research supervisor shall be as per the norms decided by respective authorities from time to time. However, normally the age of superannuation of Ph.D. Guide or research supervisor shall be of 64 years. Hence, he shall be eligible to admit the

research scholar under his guidance till he attends the age of 61 years.

- 8. Change of Guide or Research Supervisor or Research Topic or Research Centre,-
 - (1) Once the Ph.D. Scholar is registered for Ph.D. course, the change of Research Guide or Research Supervisor shall not normally be permitted.
 - (2) in an exceptional case, change of Guide or Research Supervisor may be allowed with the No Objection Certificate (NOC) in the form in <u>Annexure-IV</u> of the earlier Guide or Research Supervisor and consent of the new Guide or Research Supervisor. Both the No Objection Certificate (NOC) and the consent letter in the form in <u>Annexure-V</u> shall be endorsed by the respective Dean or Principal or Director of the College or Institution. The same shall be kept before the Board of Research for further necessary action,
 - (3) the circumstances in which for change of Guide or Research Supervisor: may be allowed are,-
 - (a) if the Guide or Research Supervisor is unable or not available to Guide or Research Supervisor, the student, for a period of more than one year, for any reason whatsoever,
 - (b) if a conflict arises between Guide or Research Supervisor and student due to any reason, in such case, the No Objection Certificate (NOC) of earlier Guide or Research Supervisor may not be required if in the opinion of the Dispute Redressal Committee of the University the same is not necessary,
- (4) a Ph.D. Scholar shall be required to complete the research under supervision of his Guide or Research Supervisor, however, a candidate may be permitted by the Board of Research to transfer his registration from one Research Guide or Research Supervisor to another, provided the first Guide or Research Supervisor gives No Objection, Certificate in the form at in Annexure –IV for such transfer and the Research Guide or Research Supervisor under whom the transfer is sought gives his consent to accept the candidate:

Provided that, in case of such transfer, if there is major change in the Research Topic of the candidate, then the candidate shall have to work under new Guide or Research Supervisor for the minimum period of two years before he is allowed to submit the thesis,

(5) whenever, the Research Guide or Research Supervisor of the candidate leaves service of the College or Institution or retires or attains superannuation from the service but continues to be recognized as a Research Guide or Research Supervisor, than the Ph.D. Scholar already admitted under such Guide or Research Supervisor may be allowed to continue to work under him. However, such Guide or Research Supervisor shall give in writing his willingness to continue as such guide of the Ph.D. Scholar. The Board of research shall, after ascertaining the choice of the Ph.D. Scholar who has kept to terms under the supervision of the said guide, either to continue to work under the supervision of the same Research Guide or Research Supervisor or to work under another Research Guide or Research Supervisor, make appropriate recommendation for his continuance to the Vice-Chancellor.

Cancellation of Guide or Research Supervisorship.-

Recognition granted by the University to the Ph.D. Guide or Research Supervisor of the affiliated College or Recognized Institution shall be automatically stand canceled, for the following reasons, namely,-

- (1) if he is ceased to be Ph.D. Guide or Research Supervisor of the concerned affiliated college or approved or Recognized Institution for any reason such as superannuation, resignation, transfer, termination or dismissal,
- (2) if, at any stage it is found that the information or documents submitted bythe recognized Guide or Research Supervisor, for recognition are transpired to be forged, false or fabricated.
- (3) if any Ph.D. Guide or Research Supervisor of the affiliated college orapproved or Recognized Institution creates obstacle in the smooth functioning of the University or any authority or committee of the University.



10. Grant of recognition or affiliation as Ph.D. Research Centre.-

- (1) The desirous College or Institution shall apply to the University for recognition or Affiliation as Ph.D. Research Centre in the format of <u>Annexure-VI</u> along with the prescribed initial one time recognition fees, and for continuation or recognition of college or institution or laboratories shall have to pay annually the continuation or recognition fees as prescribed by the competent authority of the University, from time to time,
- (2) on receipt of the proposal along with the prescribed fees, the University shall inspect the concerned institution by appointing Local Inquiry Committee (L.I.C.). The Committee shall carry out the inspection of the concerned institution and submit its report in the format in <u>Annexure–XV</u>. On the receipt of said (L.I.C.) report, after due scrutiny, the concerned section of the University shall place the report before the Academic Council for its consideration. The decision of the Academic Council shall be final and same shall be communicated by the University to the concerned College or Institution.
- (3) The process of grant of affiliation and continuation of affiliation as prescribed by the University shall be mutatis-mutandis applicable to the Institution to be recognized as Ph.D. Research Centre. Institutions or Colleges may be considered eligible to offer Ph.D. programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities, adequate facilities for research. In case of specific discipline, exclusive research laboratories equipped with sophisticated equipments and instruments as may be required, along with computer facilities and essential software, library for reading, writing and storing study and research materials; and an uninterrupted power and water supply and any other such facility as may be prescribed by the University, from time to time.
- (4) No Institution shall be recognized as a place of work for research unless at least one approved or recognized Guide or Research Supervisor is available at that particular place of work. However, those colleges or Institutions already recognized for respective subject may be accorded continuation of affiliation or recognition, provided that they fulfil all other conditions as verified by Local Inquiry Committee, though, there is no Recognized Guide or Research Supervisor at the time of continuation, subject to the condition that the Ph.D. students shall be allotted to such colleges or Institutions only after Recognized



Guide or Research Supervisor is made available.

- (5) The Affiliated Colleges or approved or recognized Institutions having Post Graduate courses shall fulfill all the norms of minimum standard and requirements, as prescribed by the respective Central Council, for Post Graduate Courses, for its Recognition as a place of research for Ph.D.
- (6) The research centre recognized by the University or conventional University or any other Central or State University shall be considered as valid place of research for interdisciplinary research in the field of Health Sciences.
- (7) The Human and Animal Ethics Committee, duly registered under the appropriate authority shall be mandatory for all Institutions which have been recognized as Ph.D. Research Centre.
- (8) The affiliated colleges or Institution recognized by the erstwhile non-agricultural Universities as Ph.D. Research Centres in the Faculties of Medicine, Dental, Ayurved and Unani, Homoeopathy and Allied Health Science, shall be deemed to be recognized by this University as Ph.D. Research Centres for such specific period, up-to the award of Ph.D. Degree to the last student admitted for Ph.D. Degree by the erstwhile non-agricultural University. However, it is necessary for the said College or Recognized Institution to submit proposal for continuation of recognition as Ph.D. Centre to this University, along with prescribed fees. After award of Ph.D. Degree to the last student admitted in such college or institution by the erstwhile non-agricultural University, if such Institution desires to continue to be the recognized Institution of this University, in such event, the college or institution shall have to apply afresh for recognition as Ph.D. Research Centre, in the prescribed format. After following due procedure, the Academic Council may approve the college or recognized institution as a recognized Ph.D. Research Centre of this University. The decision of the Academic Council shall be communicated to such College or Institution in writing by the University.
- (9) The Health Sciences- related Industries or Research Institutions or Laboratories may apply for recognition as Ph.D. Research Centre, provided that, they have sufficient infrastructure and advanced technical facilities in the concerned area or subject of research. The process of grant of affiliation and continuation of affiliation, prescribed by the University shall, mutatis-mutandis, be applicable to the Institution to be recognized as Ph.D. Research Centre.



11. Continuation of Recognition of Ph.D. Research Centre.-

- (1) The University by appointing Local Inquiry Committee may carry out periodical inspection after every five years, for continuation of recognition of Ph.D. Research Centre. It shall be necessary for the recognized Ph.D. Center to submit the proposal along with requisite fees as prescribed by the University Authority, from time to time. The Institution shall be required to submit the proposal in the prescribed format Annexure-XVI along with fees for continuation of the Ph.D. Research Centre every year before 31 october. On receipt of the proposal, the University may appoint Committee to inspect the infrastructural and research facilities available there. The report received from Local Inquiry Committee, if appointed, shall be placed before the Academic Council. The decision of the Academic Council regarding continuation of recognition shall be final. The University may reserve right to inspect such Institution every year, or as and when it deems fit.
- (2) The rules prescribed by the University in view of Local Inspection Committee (L.I.C.) shall, mutatis-mutandis, be applicable to the Institution's recognition as Ph.D. Research Centre.

12. Eligibility Criteria for admission to Ph.D. Degree.-

The applicant willing to register for Ph.D. course as Ph.D. Scholar shall fulfill the eligibility conditions as mentioned below, namely,-

(1)

- (a) The candidate shall have the Post Graduate Degree
 qualification in the concerned subject of health Sciences in the
 prescribed allied subject,
- (b) the candidate having Post Graduate Diploma, registered in the concerned Schedule of the respective Central Council or a Diplomat in National Board (D.N.B.) qualification, shall also be considered eligible, only if, such candidate has published minimum two Research Papers in National or International Indexed Journals,

- (c) the candidate having Postgraduate Degree qualification in the allied subject in Health sciences, as enumerated in Annexure-I and the subjects as may be specified and updated by the University, incorporating it in the list contained in the said Annexure, from time to time.
- (2) As per the approved list, if the Ph.D. Scholar possesses a Post Graduate degree from foreign University, he shall have to submit equivalence certificate of Indian Post Graduate degree, from the competent authority.
- (3) If any member of any authority of any University applying to be registered for Ph.D., then he shall not participate or vote on any point or item of discussion related with Ph.D. degree before any authority or committee or sub-committee of the University,
- (4) If any Ph.D. Guide or Research Supervisor or P.G. Guide registered as Ph.D. Scholar, then the topic and sub-topic, if any, of such Ph.D. Guide or Research Supervisor or P.G. Guide shall not be similar to any Ph.D. student or P.G. student registered under the concerned Ph.D. Guide or Research Supervisor or P.G. Guide.
- (5) In case, any candidate, who is Ph.D. Guide or Research Supervisor of the University and intends to seek admission for Ph.D., he shall not enroll any Ph.D. student under him till such time he completes his Ph.D. degree.

13. Admission Procedure.-

The admission of the candidates for Ph.D. Degree shall be on the basis of Merit of entrance test (Ph.D. Entrance Test –PET) conducted by the University. Thereafter, the counselling of the candidates shall be done by the respective college at its level. It shall be mandatory for In-service candidates to apply for full-time or part-time, Ph.D. programmes through proper channel along with the No Objection certificate of their respective employer in the format at Annexure VII or Annexure VIII, as the case may be.

14. Duration of the Ph.D. Course.-



- (1) The date of the joining of the candidate at any College or approved or Recognised Institution, after successful counselling, shall be treated as a provisional date of registration. However, the actual term of selected Ph.D. scholar shall be deemed to start from the date of approval letter of the Synopsis of his Thesis.
- (2) The Ph.D. scholars shall only be allowed to submit the Thesis after completion of three years from the date of approval of Synopsis by the University.
- (3) It shall be mandatory for all scholars to submit six monthly progress report duly, signed by the respective Guide or Research Supervisor, Head of the Department and Head of the Institution for submission, to the University, from time to time, in the format at <u>Annexure IX</u>.
- (4) The maximum duration for submission of Thesis, for all Ph.D. Scholars, shall be six years from the date of the approval letter of the University in respect of Synopsis. However, the same may be further extended for a maximum period of two years, being one year at a time, after submission of valid justification by the Ph.D. Scholar and by paying prescribed fees as determined by the University, from time to time. After the extended period, no further extension shall be granted, and if the Ph.D. Scholar wishes to pursue the Ph.D. course thereafter, then he shall have to register again as a fresh candidate, following the due procedure, including the Ph.D. Entrance Test.:

Provided that, candidates with more than forty percent disability may be allowed further relaxation of two years during Ph.D. Course, in the maximum:

Provided further that, women candidates may be granted Maternity Leave or the Child Care Leave up to 240 days once in the entire duration of the Ph.D. Course, in addition to the relaxation referred above.

(5) a Ph.D. Research Scholar admitted as a full-time candidate may be converted in to a part-time candidate in special circumstances, after approval of the University. A Part-time Ph.D. Research Scholar may be allowed pursue as a full time Ph.D. candidate, provided all

- the conditions mentioned in the extant of Ph.D. Directions are met satisfied.
- (6) Normally, a candidate shall be required to complete his research under the direct supervision of his Guide or Research Supervisor at Recognized Centre. However, a candidate who is a University approved full time Teacher or the person in the Government Service, shall be permitted to complete the research work at his college or place of his duty or appointment. In such condition, it shall be mandatory for such Teacher Candidate or person in Government Service to work at least 180 days in the full tenure of the Ph.D. course, under direct supervision of his Guide or Research Supervisor at recognized centre or place of Research, before submission of the final Thesis. The certificate from the Guide or Research Supervisor regarding mandatory attendance of the Ph.D. Scholar, along with signature of Head of concerned Institution shall be precondition for submission of final thesis, through the College or Institution.
- (7) Notwithstanding anything contained in this Direction or in any other Rule or Regulation, for the time being in force, the Institution, College or PhD center shall not conduct Ph.D. Programme through distance education mode.

15. Ph.D. Entrance Test (PET) and Enrolment for Ph.D. course.-

(1) The University may publish a Notification for inviting applications from aspirant candidates for admission to Ph.D. course, taking into consideration the vacancy position as submitted by the concerned recognized Ph.D. Centres, under all faculties of Health Sciences, along with the details of subject-wise vacancy position, reservation guidelines and list of Ph.D. Guide or Research Supervisors, etc. Application of the candidate shall be considered by the University considering vacant positions and availability of Ph.D. Guide and recognized Ph.D. Centre in concerned subject of Ph.D. Research. Application of the candidate applied in the subject, other than the subject specified by the University, shall be rejected and no correspondence shall be entertained by the University.

- (2) The Entrance Examination (PET) shall be conducted at the Headquarter of the University, as far as possible, or at such place as may be determined the University. The University shall organize Ph.D. Entrance Test (PET) for the purposes of admission to Ph.D. course for Full-time and Part-time Ph.D. candidates.
- (3) The Entrance Test shall consist of two papers having Multiple Choice Questions, as stated below, namely,-

P	aper I
100 marks- (50 Multiple choice questions of 2 marks each, for duration of One hour).	General Aptitude and Research Methodology,
Pa	aper II
100 marks- (50 Multiple choice questions of 2 marks each, for duration of One hour).	Subject Knowledge Test in the subject applied by the candidate.

Note: Subject Knowledge Test paper shall be in the subject (as per subject wise vacant position) and the candidate has to appear in both the papers.

- (4) In case the University is in receipt of more than one application for Ph.D. Research, then such candidates hall have to submit the option form and they shall be required to appear only for the opted subject Regarding the remittance of fees received in respect of such case it shall be as determined by the University.
- (5) the University shall publish the common merit list of the Ph.D. Entrance Test on its official website, i.e. www.muhs.ac.in, which shall be valid for period of six months only.
- (6) The qualifying criteria of securing marks for the Ph.D. Entrance Test shall be minimum 50% marks for the candidates belonging to Open Category and minimum 45% marks for the candidate belonging to the Reserved category, in the PET, to be eligible for admission. The final result shall be prepared by the University on the basis of combined marks obtained in Paper I and Paper II by the candidate in the PET Exam.

16. Admission Process.-

- (1) The University shall publish 1st admission schedule after publication of PET merit list for Ph.D. admissions on its official website, for the purposes of admissions. It shall mention the period for filling up admission forms, date of displaying of the Merit list at the Institution i.e. Ph.D. Research Centre, the period of Counseling, etc. along with general Guidelines,
- (2) the candidate shall submit his application form for admission for the purpose of counselling at the Ph.D. Research Centre of his choice, within prescribed period as may be determined by the University.
- (3) it shall be the responsibility of the concerned Ph.D. Research Centre to prepare and follow the roster of vacant seats available at the respective center, as per the Constitutional reservation Rules and publish the same on the Notice Board, under intimation to the University, if the Ph.D. Research Centre need any guidance on the same, they may seek it from the University
- (4) The Research Centre shall prepare and publish the facultywise, subjectwise and categorywise merit list of the candidates applying for admission counselling. The Research Centre shall submit one copy of merit list to the University, by e-mail, immediately.
- (5) The Research Centre shall organise the programme of Counselling within the time limit prescribed and published by the University.
- (6) The Institution i.e.(Ph.D. Research Centre) shall constitute Counselling Committee for admission which shall consist of,-
 - (a) the Dean or Head of the Institution, or his nominee..... Chairperson,
 - (b) head of the concerned subject or Department..... Member,
 - (c) two approved Ph.D. Guide or Research Supervisors in the concerned subject, if available..... Members
 - (d) teacher or scientist from reserved category from the concerned Institution as nominee from the reserve category.....Member.

- (7) the Research Centre shall communicate the list of selected students within three working days to the University,
- (8) the selected candidates shall report to the Research Centre or Institution and confirm his admission there, within fifteen days from the date of selection.
- (9) After completion of first round of admission the Institution i.e. (Ph.D. Research Centre) shall communicate the vacancy position to the University within seven working days,
- (10) The concerned Ph.D. Research Centre shall fill up the vacancies from the candidates Of the PET general merit list at its level by publishing the vacancies, widely under intimation to the University by following due appropriate procedure.

17. Provisional Registration.-

After successful counseling, the date of the admission or joining of the candidate at any Research Centre or College or approved or Recognized Institution shall be treated as a provisional date of Registration. However, the actual term of candidate shall be deemed to start from the date of approval to the Synopsis.

18. Research Advisory Committee and its functions.-

- (1) The Research Advisory Committee shall be constituted at the Ph.D. Research Centres, as follows, namely:-
 - (a) the Dean or Director or Principal, or his nominee, of the Ph.D. Research Centre..... Chairman,
 - (b) three Ph.D. recognized Guides or Research Supervisors of the concerned subjects of the Ph.D. Research Centre...... Members Explanation.-Wherever, the concerned subject PhD Guide or Research Supervisor is not available at the PhD center, in such case the Institution shall include Ph.D. Guides or Research Supervisors of allied subjects, and if they are not available then, Institution shall invite three subject experts or sr. teachers of the concerned subject.
- (2) the committee shall primarily advice to the provisionally registered Ph.D. scholars on the topic of Thesis in consultation with

- Guide/Research Supervisor of the concerned Ph.D. scholar. The committee shall ascertain whether the topic is appropriate or valid for the purpose of Ph.D. research work.
- (3) The committee may give suggestions to the Ph.D. scholar on the topic selected by him, and advice him,-
 - (a) to review the research proposal and finalize the topic of research and forward the same to the University for approval of the Board of Research.
 - (b) to guide the research scholar to develop the study, design and methodology of research and identify the courses that he may have to pursue,
 - (c) to review periodically and assist in the progress of the research work undertaken by the research scholar.
- (4) The six monthly progress reports, duly signed by the Guide or Research Supervisor and Head of the Institution, shall be submitted by the candidate and same shall be kept before the Board of Research for its approval.

. Final Registration process.-

- (1) The Ph.D. Scholar shall have to remit annual fees to the respective Ph.D. Centre and to the University, as prescribed by the University Authorities, from time to time.
- (2) The admitted Ph.D. Scholar shall submit 10 copies of his Research proposal (Synopsis), signed by the Research Guide or Research Supervisor, through the Head of the Department and Head of the Institution in the prescribed format, along with one soft copy in PDF format in <u>Annexure X</u> and Demand Draft of prescribed fees to the University, within 60 days from the date of Provisional registration. The Research proposal (Synopsis) shall be submitted only after obtaining approval or recommendation of the Institutional Ethics Committee (IEC) of the college or institution and copy of same shall be submitted to the University along with the Research proposal.
- (3) The Synopsis or Research proposal received shall be forwarded to the Expert in the concerned speciality or subject by the University for recommendation(s)/ comment(s) / suggestion(s)(if any) of the expert

through any communication media.

- (4) The recommendation or comments or suggestion, if any, received from the concerned expert along with Research proposal shall be placed before the Board of Research for approval, by any communication media.
- (5) Accordingly, the Ph.D. Scholar and concerned Guide or Research Supervisor shall modify the proposal as per the suggested amendments or corrections by Board of Research, if any, and resubmit the same.
- (6) After the due approval to the Synopsis or Research proposal of the Ph.D. Scholar, by The Board of Research, the final registration shall be issued to the Ph.D. Scholar by the University.
- (7) No major change in the Topic and Synopsis shall be allowed after final Registration, provided that, in exceptional circumstances the request of major change in Topic or Synopsis may be considered by the Board of Research and in such case the period for the Ph.D. shall be counted from the date of approval letter of the changed Topic or Synopsis.
- (8) Considering the merit of the case and the genuineness of the grounds put forth by the candidate, he may request in writing for refund of the balance amount of one time Registration fees. However, the University shall retain the amount required for the processing of the proposal and the work carried out and as per the stages of the concerned case shall refund the remaining fees, as prescribed by the University, from time to time.

20. Course Work .-

- (1) The course work shall be compulsory and same shall carry 16 credits. The bifurcation of these credits is as under, namely.-
 - (a) 4 credits for Research Methodology, including quantitative methods, computer application, tools and techniques including instrumentation, communication skills, seminar presentation and review of published research. This course work shall be treated as pre-requisite for Ph.D. preparation.
 - (b) 8 credits for "specific subjects" (2 subjects) course work,
 - (c) 4 credits for field work, seminar and other academic

activities.

The evaluation and Grades in the course work, including research methodology courses shall be finalized after combined assessment by the Research Advisory Committee and the Department and the final grads shall be communicated to the institution/college/center under intimation to the University Ph.D. Scholar has to obtained minimum 55% of marks or its equivalent grade in the course work in order to be eligible to continue in the program and submit the dissertation / Thesis.

- (2) After registration and within six months of the date of final registration, the admitted candidates shall be required to undertake the course work organized by the Institution or Research Centre, as the case may be. The course work shall be for a minimum period of one semester and it shall be treated as Pre-Ph.D. preparation. The candidate is required to submit the certificate of participation in pre-Ph.D. course work for one semester, which is to be certified by the Guide or Research Supervisor, the Head of Department and the Head of the Institution. If the Ph.D. Scholar fails to submit three consecutive six monthly progress reports, then his admission shall be liable to be cancelled.
- (3) The Candidate shall publish at least two research papers in National or International Indexed Journals, or submit the evidence of acceptance of papers, related to his Research Topic in a recognized National or International Indexed journal, or proof of acceptance for publication, before submission of Final Thesis to the University.

21. Redressal of Dispute.-

In case the Research Scholar is unable to do his Research work due to any dispute between a Research Scholar and his Guide or Research Supervisor or the Research Centre, or the dispute regarding admission or any other dispute concerned with research work, the Redressal Committee consisting of the following, shall examine the matter after hearing both the parties and report to the Vice-Chancellor, whose decision shall be final, namely.-

- (1) the Dean of the concerned faculty...... Chairman,
- (2) one subject Expert, to be nominated by the Vice-Chancellor.....

Member,

- (3) Registrar..... Member Secretary,
- (4) the Controller of Examinations...... Member.

22. Pre- Ph.D. Viva Voce and Presentation.-

- (1) Prior to the submission of the dissertation or thesis, the scholar shall make the presentation in the concerned Department of the recognized Institute or the Centre, before the Research Advisory Committee of the Institution concerned, which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from the committee may be suitably incorporated into the draft dissertation or thesis, in consultation with the Research Advisory Committee.
- (2) On receipt of the draft thesis from the candidate, it shall be sent to the Expert, nominated by the Vice-Chancellor for verification of plagiarism in the Research work in the Thesis, which shall be verified through the software or by any other manner, as per the policy decided by the University, from time to time, in that behalf.
- (3) After receipt of the satisfactory report from the Anti Plagiarism expert, the candidate shall be eligible to submit final Thesis. In case if an unsatisfactory report is received from Anti Plagiarism expert, then the University shall convey the matter to the concerned candidate and the Research Advisory Committee, which may initiate an appropriate action for re-submission.

23. Submission of Thesis.-

- (1) After successful Pre-Ph.D. Viva Voce or Presentation and subsequent fulfillment of queries, amendment or modification, etc., as suggested by the Research Advisory Committee of the Institution, the candidate shall be eligible to submit the final Thesis.
- (2) The candidate shall submit four hard copies of the final Thesis along with two compact disks containing soft copies in PDF format, and 10 copies of the summary of the Thesis along with a Certificate for successful Pre-Ph.D Viva Voce or Presentation, before Research Advisory Committee of the Institution, along with a covering letter.



- (3) The thesis shall be typed in Arial or Times New Roman Font, in the font size 14, in double spacing. It shall be well bound. No Spiral binding Thesis shall be accepted.
- (4) The prescribed fees for evaluation shall be enclosed with such application. The Thesis shall include Certificate in the form 'A' in Annexure XI from the Research Guide or Research Supervisor and a declaration from the Ph.D. Scholar as well as the Guide stating that the work reported in the Thesis is original work carried out by the Ph.D. Scholar himself and that the Research work from other sources has not been included, except the citation or examples quoted.
- (5) The material which has been obtained from the other sources shall be duly acknowledged in the Thesis by the Ph.D. Scholar. If the material obtained from the other sources has not been duly acknowledged, then he shall be held responsible for Plagiarism. He shall be made aware about the consequences of Plagiarism. In case of Plagiarism, the Ph.D. Scholar shall be liable to be punished by way of withdrawal of the Thesis and Ph.D. Degree even if already awarded.

24. Appointment of Examiners or Referees .-

- (1) The exhaustive panel of Examiners or Referees for Thesis evaluation and conduct of Open Viva Voce and Defence, duly recommended by the Board of Research, shall comprise of preferably active recognized Ph.D. Guide or Research Supervisor, for appointment of Examiners or Referees as per the provision under clause (a) of sub-section (5) of section 31 of the MUHS Act, 1998.
- (2) For Thesis Evaluation, there shall be the panel of three examiners, out of them, one examiner shall be Internal Examiner within the jurisdiction of the University and other two External Examiners shall be from out of the State. In case of refusal or non-availability of the examiner, a recognized Ph.D. Guide or Research Supervisor from any Deemed University, may be appointed.
- (3) For Open Viva-voce and Defence of the Thesis, there shall be a separate Viva Voce Committee of three members which shall includes,-

- (a) the Guide or Research Supervisor of the candidate, who shall be the Convener cum Internal examiner,
- (b) one External examiner, who has examined and recommended, acceptance of the Thesis,
- (c) one Subject expert, appointed by the Vice-Chancellor.
- (4) This committee shall evaluate the performance of the Research Scholar.

25. Evaluation.-

- (1) The University shall appoint three examiners from the panel of Examiners, approved by the Board of Examinations, to examine the Thesis. The Controller of Examinations shall communicate each examiner, by e-mail or by post or by telephonic communication or by any means as approved by the University. It shall be mandatory for the Examiner to communicate acceptance or non acceptance of his appointment to the Controller of Examinations. If no communication is received from the examiner within a period of two weeks from date of communication, it shall be treated as cancellation of his appointment and the University shall appoint another examiner from the existing panel of Examiners.
- (2) In case of acceptance of examiner-ship for assessment, the Controller of Examinations shall forward the Thesis along with summary thereof to such examiners.
- (3) The respective Examiner shall independently send his Thesis assessment report along with copy of the Thesis, to the Controller of Examinations within sixty days from the date of receipt of the Thesis. If any examiner fails to do so, the Controller of Examinations shall, immediately, after the expiry of the said period, request him to submit the report along with the Thesis within a period of fifteen days. If the concerned examiner fails to comply within the extended period, the Vice-Chancellor shall cancel his appointment forthwith and a new Examiner shall be appointed from the existing panel of Examiners. In case of request for the late submission of the report or late receipt of the report after the appointment has been cancelled or the loss of report or postal delay, etc. the Vice-Chancellor shall take an



- appropriate decision in the matter.
- (4) The examiners shall submit the Thesis assessment report in the prescribed Annexure XII and shall make one of the following recommendations, namely,-
 - (a) the Thesis is acceptable for the award of the Degree, provided it contains substantial points and shows significant contribution in existing scientific knowledge,
 - (b) that the Thesis is acceptable subject, to revision of certain points as suggested by the Examiner,
 - (c) the Thesis is not acceptable, in which case the examiner must mention precise reasons in writing, for the non-acceptance,
 - (d) in the case of suggestions by the examiner(s), the candidate shall be advised to revise the Thesis, in the light of points raised in the Thesis Assessment Report and to re-submit it to the University, within the period as may be prescribed, if any.,
 - (e) if both the External examiners recommend for acceptance of the Thesis for the award of the Degree, the Thesis shall be accepted and it shall be processed for Open Viva Voce and Defense of the Thesis,
 - (f) in case of suggestions given by the examiners, the candidate shall be Required to re-submit the revised Thesis to the University within the period of one month, for its further submission to the examiners who have suggested the changes. The examiners shall re-submit their report in a prescribed form (Annexure XIII).
 - (g) in the case of non-acceptance of the Thesis by one of the External examiners and the other External examiner recommending its acceptance, then the evaluation report of the Internal examiner shall be taken into consideration. In such cases, the Thesis shall be accepted and processed for the Open Viva Voce and Defence of the thesis; provided that two examiners (including the internal examiner) have accepted the Thesis,

(h) in case, where two out of the three examiners have given an unfavourable or non-acceptance or rejection report, then the Vice-Chancellor shall get the thesis examined by an additional examiner, from the panel of examiners approved by the Board of Examinations. If the additional examiner also gives an unfavourable or non- acceptance or rejection report, the candidate shall be deemed to be failed and it shall be informed accordingly to the candidate and then the registration of the candidate shall stand cancelled.

26. Open Viva Voce and Defence of Thesis.-

- (1) On receipt of the positive recommendations reports from any two of the examiners for the award of Degree, the University shall arrange for the candidate's Open Viva Voce and Defense of the Thesis.
- (2) The intimation of positive recommendation of two examiners shall be communicated to the Guide or Research Supervisor of the Scholar, for the purposes of the arrangement of the Open Viva Voce and Defence of the Thesis, at the earliest. The suitable dates shall be suggested by the external examiner. The date of Open Viva Voce and Defence of Thesis shall be determined in consultation with the External Examiner. The place of the Open Viva Voce and Defence of the Thesis shall be determined by the University. The concerned Guide or Research Supervisor shall make the reports available to the external examiners at least 3 days before the date of the Open Viva Voce and the Defence. It shall be the duty of the Guide or Research Supervisor or Head of the Department to circulate the notice of Open Viva Voce and Defence of the Thesis stating the Research Topic of the Thesis to the other Departments, at least 48 hours before the test and Defence to enable other faculty members and candidates to attend the same.
- (3) The date of Open Viva Voce and Defence shall be arranged in consultation with the External Examiners. However, In case, if it is not possible for any External examiner to remain present for the test and the Defence, then the said fact shall be brought to the notice of the Vice-Chancellor for appointment of the another external examiner,

and the copy of Thesis shall be forwarded to such external examiner. Where the internal examiner is not available, the Vice-Chancellor shall appoint one of the senior research Guides or Research Supervisors in the subject concerned, from the panel of recognized research Guides or Research Supervisors in the subject allied subject, to act as an Internal Examiner. However, in case if Open Viva Voce & Defence is delayed beyond a period of one month on receipt of favourable report of two examiners, the Ph.D. candidate through his Guide may bring this to the notice of the Vice-Chancellor directly and the Vice-Chancellor in such case, may initiate an appropriate action in consultation with the Dean of the concerned Faculty ensuring that the panel is approved within a month.

- (4) The Ph.D. scholar shall make a presentation of his Thesis, covering the background, objectives, methodology, results or outcome and the conclusions of his study. The examiner and those attending the Open *Viva Voce* and the Defence, may participate, by asking relevant questions. The questions those may be asked during the *Viva Voce* shall be of constructive type, non-teasing in nature and shall lead to logical discussion on the Topic of the Thesis. No member of the audience shall have right to comment on the acceptability or non-acceptability of the Thesis for the award of the Ph.D. Degree. The examiners shall adjudge the answers given by the scholar to the queries of the audience and appropriately consider it, while preparing the report. The decision of examiners shall be final and binding in respect of the the query raised by the audience.
- (5) The final consolidated report about the award of the Degree shall be submitted to the Controller of Examinations by the Guide or Research Supervisor and the external examiner, immediately after the Defence is over, in a prescribed format in

 Annexure XIV. His submission shall be specific and it shall contain recommendation for the award of the Degree, or a fresh Viva Voce, after a specified time, if the performance of the candidate is not satisfactory.
- (6) In case, the Open Viva-Voce and the Defence is not satisfactory, the examiners may, by majority, recommend with reasons in writing, the



specific comments regarding unsatisfactory performance of the candidate. The candidate shall act upon the comments accordingly, and face the Viva Voce again, after the period of three months before the same examiners.

- (7) In case, Open Viva Voce and the Defence is scheduled by the University and the candidate remains absent for it, his viva voce shall be re-scheduled by the University, after a period of three months, as per the convenience of the University.
- (8) If any candidate is enrolled as Ph.D. Scholar and his Guide or Research Supervisor happens to be the member of the Committee or a referee, in view of the provision of clauses (a) and (d) of sub-section (5) of section 31 of the said Act, then, in such case, the concerned member of the Board shall not conduct open Viva Voce and Defense of the candidate. However, such member may remain present for the Open Viva Voce and Defense and he shall be entitled for Travelling Allowance and Daily Allowance.

Final result.-

- (1) The overall result of the Open Viva Voce and Defence of the Thesis shall be declared under notification by the Controller of Examinations within seven days from the date of receipt of the acceptance of the Defence of the Thesis. The Controller of Examinations shall issue a Provisional Degree Certificate to the Scholar, certifying specifying the Degree has been awarded in accordance with the provisions as prescribed by the University, the UGC Regulations and the concerned Council(if applicable).
- (2) Once the Ph.D. Degree is awarded to the candidate, one soft copy of the Thesis shall be kept under the custody of the Controller of Examinations. The hardcopy and soft copy of the Thesis shall be deposited to University Library, and concerned Ph.D. Research Centre shall maintain one copy at its level.
- (3) Depository with Information and Library Network (INFLIBNET) Centre,-

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the concerned Institution, shall submit an electronic copy of the Ph.D.

thesis to the Information and Library Network INFLIBNET, for hosting the same so as to make it accessible to all Institutions or Colleges.

28. Miscellaneous.-

- (1) The subjects of Health Sciences, in any of the faculties of Medicine, Dentistry, Ayurved and Unani, Homoeopathy and Allied Health Sciences, Interdisciplinary Studies which are more particularly described in the <u>Annexure-I</u> in the list of subjects, may be amended by the University, incorporating it in the list, from time to time.
- (2) If, at any stage, it is found that the material quoted or referred in the Thesis is copied from any other source or Author or Researcher, and found the same as plagiarized as per the provisions contained in subrule (5) of rule 23, then the University shall withdraw the Degree of such candidate or shall not process the Thesis for further evaluation and examination, as the case may be, by following appropriate procedure,
- (3) The necessary fees to be charged at various stages of the entire process shall be such as may be prescribed by the University, from time to time, which shall be notified separately on University website,
- (4) The Ph.D. Scholar shall not claim Degree of Ph.D., merely on the basis of his admission to the Ph.D. Course or his appearance in the Open Viva-Voce and Defence.
- (5) Ordinarily degree of Ph.D. should not be awarded unless there is significant contribution made to the existing scientific knowledge.
- (6) The Rules and Regulations of the UGC promulgated for award of Ph.D. Degree in Health Sciences from time to time, shall be adopted and be applicable. The University shall alter or amend any rules or provision in this direction, accordingly, at any stage and they shall be final and binding.
- (7) In respect of the matters relating to the subject dealt in this Direction but which are not specifically covered under in this Direction, if any query regarding the interpretation of any clause of this Direction arises, then it shall be decided by the Vice-Chancellor and the decision of the Vice-Chancellor shall be final and binding.
- (8) This Direction shall remain in force till an Ordinance is made in that behalf by the University and assented by the Hon'ble Chancellor.

PRINCIPAL

- (9) The gender 'he' shall mean male gender and it shall include all the other genders.
- 29. In respect of the matters relating to the subject dealt in this Direction but which are not specifically covered under in this Direction, hereinabove if any query regarding the interpretation of any clause of this Direction arises, then it shall be decided by the Vice-Chancellor and the decision of the Vice-Chancellor shall be final and binding.
- 30. This Direction shall remain in force until Ordinance is made in this behalf by the University, which has been assented by the Hon'ble Chancellor
- 31. This Direction No.04/2015 is promulgated by repealing the previous Direction No.8/2012 (amended in 2013). Notwithstanding such repeal, anything done or any action taken under or in pursuance of the provisions of the repealed Direction, shall continue to have effect and be deemed to be done or taken under the corresponding provisions of this Direction.

Date:- 22/08/2016

Place: - Nashik.

Sd/Prof. Dr. Deelip Mhaisekar
Vice-Chancellor.



MAHARASHTRA UNIVERSITY OF HEALTH SCIENCES, NASHIK

DIRECTION NO.: 01/2020

PROCEDURE AND NORMS GOVERNING AWARD OF M.Phil. and Ph.D. DEGREE

WHEREAS, The Maharashtra University of Health Sciences Act, 1998 (Maharashtra Act No X of 1999) (hereinafter referred to as "the said Act") has been passed by the State Legislature to establish the Maharashtra University of Health Sciences (hereinafter referred to as "the University") for the purpose of ensuring proper and systematic instruction, teaching, training and research in Modern Medicine and Indian System of Medicine and to have a balanced growth in the Health Sciences so also an uniformity in various courses in Medial faculty.

AND WHEREAS, as per sub-section (3) of section 6 of the said Act, all Colleges of Health Sciences previously affiliated to erstwhile non-Agricultural Universities in the State of Maharashtra, shall be deemed to be affiliated to the University;

AND WHEREAS, the Government of Maharashtra has re-affiliated Post Graduate and Ph.D. courses from previously affiliated erstwhile non-Agricultural Universities to the University as per the Ordinance No. IX /2005 dated the 31/10/2005;

AND WHEREAS, it has become necessary to prescribe norms or rules of procedure to undertake quality research in each branch of Health Sciences, leading to award of M.Phil. and Ph.D. degrees of the University;

AND WHEREAS, it is also necessary to regulate admission and registration of students for M.Phil. and Ph.D. degree by the University;

AND WHEREAS, the University Grants Commission has prescribed minimum standards and procedure for award of M.Phil. and Ph.D. Degrees by issuing, the U.G.C. (minimum standards and procedure for awards of M. Phil / Ph.D. Degree) regulations, 2016, notified in the Gazette of India, dated the 05th May 2016, as amended from time to time;

AND WHEREAS, the concerned Central Councils of Health Sciences have prescribed the norms for various M.Phil. and Ph.D. Courses;

AND WHEREAS, to prescribe the procedure regarding award of M.Phil. and Ph.D. Degrees is a subject matter of Ordinance, and at present there is no Ordinance

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regarding award of M.Phil. and Ph.D. degrees is in force; and since, making of Ordinance is a time taking process;

NOW, THEREFORE, I Professor Dr. Deelip G. Mhaisekar, Vice-Chancellor of Maharashtra University of Health Sciences, in exercise of powers conferred upon me under sub-section (8) of section 16 of the said Act, issue the following Direction, namely:-

1. Short Title and Commencement: -

- a) This Direction may be called the Procedure and norms governing M.Phil. and Ph.D. degrees Direction, 2020.
- b) It shall come into force with effect from the date of its issuance.
- c) The previous Direction No. 04/2015, as amended in 2016, is hereby repealed. Notwithstanding such repeal, anything done or any action taken thereunder or in pursuance of the said Direction, shall continue to have effect and deemed to be done or taken under the corresponding provisions of this Direction.

2.1 Definitions: - In this Direction, unless the context otherwise requires, -

- i) 'Interdisciplinary Research or Interpathy research means research conducted by eligible Candidate between two or more disciplines or Departments of Health Sciences;
- ii) 'Guide or Research Supervisor' means the recognized Research Guide or Research Supervisor of the University or the erstwhile University to supervise the M.Phil. and Ph.D. Scholar for the research;
- iii) 'Co-Guide or Co-Research Supervisor' means Co-Guide or Co-Research Supervisor, who is an expert in the subject or the area related to the topic of the research Scholar either from the same specialty or from different specialty, from the same institution or a different institution having area of expertise related to the topic selected by the M.Phil. and Ph.D. Scholar;
- iv) 'Full-time M.Phil. and Ph.D. Scholar' means the Research Scholar who is being supported with or without a Fellowship or Scholarship, pursues research work only, leading to M.Phil. and Ph.D. degree as a Full-time Research Scholar, without performing any other job or assignment;
- v) 'Part Time M.Phil. and Ph.D. Scholar' means the Research Scholar who is already in service as a faculty member or employee or a scientist in the same Institutions or in a different Institution, or who pursues his research work leading to M.Phil. and Ph.D. degree as a Part Time Research Scholar in a M.Phil. and Ph.D. recognized Study Center by the University, in addition

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to performing his other duties as teacher or scientist or administrator or such other duties, assigned to him;

- vi) 'Section' means section of the said Act.
- vii) Words or expressions used but not defined in this Direction shall have the same meanings, respectively, assigned to them in the said Act.
- viii) 'Recognized Research Institute' or 'Research Centre' means the Research Institution and research center having infrastructure, teaching and / or research facilities at par with the norms prescribed by the University / UGC. These teaching health sciences Institutes (colleges) or research institutes (exclusive research laboratories) or institutes of National and international repute or affiliated health sciences Colleges recognized by the University for the purpose of conducting research by following due procedure prescribed from time to time.

2.2 Academic, administrative and infrastructure requirement to be fulfilled by Colleges / Institutes for getting recognition for offering M.Phil. / Ph.D. programmes:

- i) The affiliated Colleges may be considered eligible to offer M.Phil. / Ph.D. programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per norms prescribed by the university / UGC.
- ii) The Post Graduate departments of Colleges, research Health Sciences laboratories of Government of India / State Government with at least Two Ph.D. qualified teachers / Scientists / other academic staff in the department concerned along with required infrastructure, supporting administrative and research promotion facilities as per the UGC Regulation shall be considered eligible to offer M.Phil. / Ph.D. programmes.
- iii) 'Recognized Research Institute' and 'Research Centre' fulfilling the norms defined under clause 2.1(viii) of the instant Direction shall also be considered eligible to offer M.Phil. / Ph.D. programmes.

3. Objectives: -

a) To award the Degrees of Master of Philosophy (M.Phil.) and Doctorate in Philosophy (Ph.D.), on regular basis, in any subject of Health Sciences. in the faculties of Medicine, Dentistry, Ayurved and Unani, Homoeopathy. Allied Health Sciences and Interdisciplinary subjects and more particularly



described in <u>Annexure-I</u> in any of the subjects, as may be specified and updated by the University, incorporating it in the list contained in the said Annexure, from time to time.

- b) To regulate the M.Phil. and Ph.D. work process and streamline the M.Phil. and Ph.D. research procedures.
- c) To strive to promote competitive merit and excellence as the sole guiding criterion in all research activities of M.Phil. and Ph.D. Scholar(s).
- d) To promote interdisciplinary or Interpathy research.

4. Nomenclature of Degree: -

After fulfilling all the requirements enumerated hereinafter, the Degree shall be awarded, namely, the Master of Philosophy (M.Phil.) or Doctor of Philosophy (Ph.D.), as the case may be, in the concerned discipline or subject. The degree certificate shall state the subject of the specialty and the name of the Faculty. The Scholar shall be eligible to get the Degree in the concerned faculty. The Scholar registered for M.Phil. and Ph.D. under interdisciplinary / interpathy research shall be eligible to get the concerned degree under interdisciplinary or interpathy faculty, even though there is no separately constituted interdisciplinary or interpathy faculty.

5. Constitution of Board of Research: -

- i) There shall be two Boards of Research, one for Medical, Dental and Allied faculties of Health Sciences and the other for Ayurved, Unani and Homoeopathy faculties, consisting of following members, namely,
 - a) The Vice-ChancellorChairman,
 - b) The Pro Vice-ChancellorMember or Chairman, in the absence of the Vice Chancellor, as the case may be,
 - c) Deans of the concerned Faculties......Member,
 - d) Two Chairpersons of the concerned Board of Studies nominated by the Vice-Chancellor.....Members,
 - e) One Ph.D. Guide or Research Supervisor from the concerned faculty from affiliated College or recognized research institution, nominated by the Vice-Chancellor...... Member,
 - f) Two eminent Research Persons having minimum fifteen research publications in indexed / scientific journal(s) with ISSN number or Experts from National Research Institutions within the concerned faculty, who have published minimum ten Research Papers in the National or

International indexed / scientific Journal(s) with ISSN number, to be nominated by the Vice-ChancellorMembers,

- g) one eminent Statistician, nominated by the Vice-Chancellor.... Member,
- h) The RegistrarMember Secretary.
 In absence of the Vice-chancellor or the Pro-Vice-Chancellor, the BOR shall elect the Chairperson for conduct of the meeting.
- ii) For expert opinion on any relevant matter, in addition to the above designators, the Vice-Chancellor shall have power to appoint the experts on Board of Research, in the capacity of invitee-member(s).
- iii) Tenure and Meetings of Board of Research.
 - a) The term of members of the Board of Research shall be co-terminus with the regular term of Board of Studies and shall be reconstituted after completion of the tenure.
 - b) Provided that, the Vice-Chancellor shall have right to replace any member or reconstitute the Board of Research before completion of its tenure under special circumstances.
- iv) Meetings of the Board of Research shall be held at least twice in a year.

6. Duties of Board of Research: -

- 1) The Board of Research shall perform the following duties.
 - a) To recognize the M.Phil. and Ph.D. Guide or Research Supervisor,
 - b) To formulate norms to recognize the Department or Institution or Centre for Research work leading to M.Phil. and Ph.D. Degree,
 - c) To maintain the standards and quality of Research for M.Phil. and Ph.D. Course and to review them from time to time,
 - d) To decide the educational and other qualifications for admissions to different
 M. Phil and Ph. D. degrees in different subjects,
 - e) To prepare the list of teachers of internal and external examiners or referees, who are recognized as M.Phil. and Ph.D. Guides or Research Supervisors or P.G. Guides, who are eligible to be M.Phil. / Ph.D. Guides / Supervisors for onward submission under clause (a) of sub-section (5) of section 31 of the said Act, to the Committee.
 - f) To decide about priority areas of research by incorporating the vision of country and to publish the list of areas of priority research,
 - g) To perform any other duty, as may be assigned by Statute or Ordinance or Direction or Rules or Regulations, from time to time,



- h) To prepare Guidelines for checking plagiarism in the research work and to verify the same through plagiarism software or any other policy decided by the University, from time to time.
- i) To perform any other duty as may be assigned by the Vice-Chancellor or any appropriate authority of the University, from time to time, related with M.Phil. and Ph.D. course(s) or research program(s) of the University.

7. Research Advisory Committee and its functions: -

- Subject-wise Research Advisory Committee shall be constituted at the M.Phil. and Ph.D. Research Center, as follows, namely: -
 - (a) the Dean or Director or Principal, or his nominee, not below the rank of Professor, of the M.Phil. and Ph.D. Research Center.....Chairman,
 - (b) three M.Phil. and / or Ph.D. recognized Guides or Research Supervisors of the concerned subjects of the Research Center...... Members,
 - (c) Research Methodology Expert (amongst approved faculties from University Research Methodology Workshop, preferably from Community Medicine / Statistical background) Member.

Explanation: - Wherever the Guide or Research Supervisor is not available for the concerned subject at the research center or Institution, in such case the research center or Institution shall include Guides or Research Supervisors of allied subjects, and if such Guides or Research Supervisors in Allied subjects are also not available, in such case, the research center or Institution shall invite three subject experts or senior teachers not below the rank of Associate Professor from other recognized research center or Institution.

- 2) the committee shall primarily advice to the provisionally registered M.Phil. and Ph.D. Scholars on the topic of research in consultation with M.Phil. and Ph.D. Scholar, Guide / Research Supervisor of the concerned M.Phil. and Ph.D. Scholar. The committee shall ascertain whether the topic is appropriate or valid for the purpose of M.Phil. and Ph.D. research work.
- 3) the committee may give suggestions to the M.Phil. and Ph.D. Scholar on the topic selected by him, and advise him to review the research proposal and finalize the topic of research and forward the same to the University for approval of the Board of Research,
- 4) to guide the research Scholar to develop the study- design and Methodology of research and identify the courses that he may require to pursue,



- 5) To review periodically and assist the Scholar in the progress of the research work undertaken by the research Scholar.
- 8. Research Guide or Research Supervisor: -
 - 8.1 The Eligibility Criteria of Research Guide or Research Supervisor: -
 - (i) The M.Phil. and Ph.D. Guide or Research Supervisor recognized by the erstwhile Universities in the Health Sciences disciplines shall be deemed to be recognized as Guide or Research Supervisor by this University: Provided that, such Guide or Research Supervisor shall complete six days training of Research Methodology Workshop from the Center recognized by the University or from the Institution of Medical Education Technology and Teachers Training Center, Nashik of the University or any other place recognized by the University. However, this six days training shall be exempted to such Research Guide or Research Supervisors who have published at least five Research Papers in the National or International Indexed or scientific Journal(s) with ISSN number.
 - (ii) The Eligibility criteria of M.Phil. and Ph.D. Guide or Research Supervisor for all faculties under the Health Sciences disciplines shall be as under, -

Full time regular teachers with Post Graduate Qualification:

- a) For Medical Faculty: any Full time regular teacher who have minimum fifteen years of approved teaching experience, as Assistant Professor and above, after obtaining a Post Graduate degree, out of which, minimum ten years as a Post Graduate recognized teacher, in the concerned subject or allied subject (if applicable) and should have published at least Five Research Papers in the National or International Indexed or scientific Journal(s) with ISSN number.
- b) For Dental Faculty: any Full time regular teacher who have minimum fifteen years of approved teaching experience as Assistant Professor and above, after obtaining a Post Graduate degree, out of which, minimum five years as a Post Graduate recognized teacher in the concerned subject or allied subject (if applicable) and should have published at least Five Research Papers in the National or International Indexed or scientific Journal(s) with ISSN number;
- c) for other than Medical and Dental Faculty: any full time regular teacher who have minimum ten years approved teaching experience in the capacity of Assistant Professor and above rank, after obtaining Post Graduate degree, out of which, minimum five years as a Post Graduate recognized teacher in the concerned subject or allied subject (if applicable) and also should have



published at least five Research Papers in the National or International Indexed or scientific Journal(s) with ISSN number;

- d) Full time regular teachers with Post Graduate degree and Ph.D.

 Qualification for all faculties: any Full time regular teacher possessing Ph.D. Degree and having minimum ten years approved teaching experience as Assistant Professor and above, after obtaining Ph.D. degree and should also have published at least five Research Papers in the National or International Indexed or scientific Journal(s) with ISSN number;
- e) any expert or scientist working in the recognized Research Institution or Health Science Industry, research institute having M.D / M.S./ Ph.D. qualification in the concerned specialty with ten years research experience and should also have published at least five Research Papers in the National or International Indexed or scientific Journal(s) with ISSN number.
- f) For all faculties any Post Graduate Health Science Degree holder having a professional / clinical experience of 20 years after obtaining Post Graduate Health Science Degree and working in a Hospital / Research / Teaching Institute which possess minimum required teaching / infrastructural / clinical facilities required at the research Centre to be recognized by the University as a Research Centre for carrying out M.Phil. / Ph.D. research and should also have published at least five Research Papers in the National or International Indexed or scientific Journal(s) with ISSN number.

8.2 Eligibility Criteria for Co-Guide or Co-Research Supervisor: -

Any recognized Guide or Research Supervisor of the University, or any other University, any expert in the concerned field as approved by the University, shall be the Co-Guide or Co-Research Supervisor.

For Interdisciplinary / Interpathy research, any recognized Guide or Research Supervisor of the University, or any other University, any expert in the concerned field of other discipline/pathy as approved by the University, can be the Co-Guide or Co-Research Supervisor as per the request of the research Scholar with recommendation of the Guide.

9. Application and other Conditions: -

1) Any eligible teacher or expert or Scientist intending to become M.Phil. and Ph.D. Guide or Research Supervisor in Health Sciences shall apply in <u>Annexure-II</u> along with the required documents, through the Dean or Principal or Director of the University affiliated College or recognized Institution or center of higher



- learning, Research or specialized study, other than recognized Institution of Health Sciences related Industries or Health Center or research Laboratories.
- 2) The approved or recognized Ph.D. Guide or Research Supervisor of the University shall be eligible to Guide the M.Phil. and Ph.D. Scholars till the age of his superannuation and such age of superannuation shall be as prescribed by appropriate authorities (i.e. the Central Government, respective Central Councils, State Government or recognized College or Institution whichever is applicable) or the University, from time to time. Fresh registration(s) of Ph.D. Scholars shall be permitted upto one-year prior of his superannuation provided that the research supervisor gives undertaking to guide the students enrolled under him for complete duration and the appointment of eligible Co-supervisor (who is not retiring within the period of 3 years) duly certified by the concerned institute. Otherwise, three years prior to the age of superannuation of the concerned M.Phil. / Ph.D. Guide or Research Supervisor, a fresh registration(s) of Ph.D. Scholars shall not be permitted.
- 3) It shall be the duty or responsibility of the M.Phil. and Ph.D. Guide or Research Supervisor and recognized College or Institution or Centre to inform the University, once the Guide or Research Supervisor ceases to be the Guide or Research Supervisor after attaining the age, of superannuation. It shall also be the duty or responsibility of the recognized College or Institution or the Center to inform to the University regarding the transfer, resignation or absence from the work due to any other reason. Accordingly, the list of recognized M.Phil. and Ph.D. Guides or Research Supervisors shall be updated from time to time.
- 4) All the Guides or Research Supervisors shall be residing within the jurisdiction of the University.
- 5) A Guide or Research Supervisor who is a Professor, at any given point of time, shall not supervise more than three M.Phil. and eight Ph.D. Scholars or Candidates. An Associate Professor as Guide or Research Supervisor may guide up to maximum of two M.Phil. and six Ph.D. Scholars or Candidates and an Assistant Professor as Guide or Research Supervisor may guide up to maximum of one M.Phil. and four Ph.D. Scholars or Candidates. Whenever the number of Candidates registered under the Guide or Research Supervisor has reached the maximum limit, the Guide or Research Supervisor shall become eligible to supervise another M.Phil. or Ph.D. Scholar only after the submission of Dissertation / Thesis by any one of the M.Phil. or Ph.D. Scholars already



- registered under him. In a given academic year, the Research supervisor / Guide shall not admit more than two M.Phil. and three Ph.D. students at a time.
- 6) The Ph.D. Guide or Research Supervisor who have passed Post Graduation in one specialty and further completed a Super Specialty Degree is eligible to be considered as Ph.D. Guide or Research Supervisor in his concerned specialty of Post-Graduation, as well as the subject of specialization during his Super Specialty degree. However, he shall be eligible to Guide or supervise as Research Supervisor, not more than total number of candidates as specified in the condition (5) above, including both the specialties referred above. For subjects in other than Medical faculties, like Dentistry, Ayurved and Unani, Homoeopathy, Allied Health Sciences, the concerned Guide or Research Supervisor shall be required to give option for Allied Subjects, as per the respective Council's Regulations or Rules, prescribed from time to time.
- 7) The application may be submitted for the recognition as M.Phil. and Ph.D. Guide or Research Supervisor and Co-Guide or Co-Research Supervisor throughout the year.
- 8) All such applications received by the University for seeking recognition as M.Phil. and Ph.D. Guide or Research Supervisor and Co-Guide or Co-Research Supervisor shall be placed before the Board of Research for their approval or further necessary action. After obtaining recognition as a M.Phil. and Ph.D. Guide or Research Supervisor and Co-Guide or Co-Research Supervisor, he will be eligible to guide the research Scholars.
- 9) In exceptional circumstances, the applications received by the University for seeking recognition as M.Phil. and Ph.D. Guide or Research Supervisor and Co-Guide or Co-Research Supervisor before the scheduled meeting of the Board of Research, may be approved by the Vice-Chancellor, justifying the need of M.Phil. and Ph.D. Guide or Research Supervisor and Co-Guide or Co-Research Supervisor, which shall be placed before the ensuing meeting of Board of Research for post facto sanction of the Board. If any dispute arises, for the action of post facto sanction in the Board of Research, then, the matter can be referred to the independent committee appointed under the chairmanship of Pro-Vice-Chancellor by the Board of Research. The recommendation of this committee shall be placed before the Vice-Chancellor for his consideration and the decision of him shall be final.

10) The application form of Co-Guide or Co-Research Supervisor to be submitted in <u>Annexure-III</u> with the consent of his Guide or Research Supervisor, along with a short note from the Guide or Research Supervisor about the necessity of Co-Guide or Co-Research Supervisor forwarded through the Dean or Principal or Director of the concerned College or Institution or Center, to the University

10. Change of Guide or Research Supervisor: -

- Once the M.Phil. or Ph.D. Scholar is registered for M.Phil. or Ph.D. course; the change of Research Guide or Research Supervisor shall not normally be permitted.
- 2) M.Phil. or Ph.D. Guide who is away from the Center for any other reason but is willing to guide his Scholar, may be allowed to do so.
- 3) In an exceptional case, change of Guide or Research Supervisor may be allowed with the No Objection Certificate (NOC) in <u>Annexure-IV</u> of the earlier Guide or Research Supervisor and consent of the new Guide or Research Supervisor in <u>Annexure-V</u>, both, the No Objection Certificates and the consent letter shall be endorsed by the respective Dean or Principal or Director of the College or Institution or Center. The same shall be kept before the Board of Research for further necessary action.
- 4) The circumstances in which the change of Guide or Research Supervisor may be allowed, shall be as under,
 - a) If the Guide or Research Supervisor is not available or unable to Guide or supervise the research Scholar, for a minimum period of one year for M.Phil. Programme and for a period of three years for Ph.D. programme; or
 - b) if the Guide or Research Supervisor attains the age of superannuation as prescribed by the appropriate Authority or the University, as the case may be;
 or
 - c) if a conflict arises between Guide or Research Supervisor and Scholar due to any other reason, in such case, the No Objection Certificate of earlier Guide or Research Supervisor may not be required, if in the opinion of the Dispute Redressal Committee of the University, the same shall not be necessary; or
 - d) if the Guide is not willing to guide the Ph.D. Scholar after acceptance of the synopsis by the University, then University may allot a new Guide to the Scholar with a condition that, the topic of research shall not be changed;



11. Cancellation of Guide or Research Supervisorship: -

Recognition granted by the University to the M.Phil. or Ph.D. Guide or Research Supervisor of the affiliated College or Recognized Institute or Center shall automatically stand canceled, for the following reasons, namely, -

- If he is ceased to be M.Phil. or Ph.D. Guide or Research Supervisor of the concerned affiliated College or Recognized Institution / Center for any reason such as superannuation, resignation, transfer, termination or dismissal etc, as the case may be;
- 2) if, at any stage it is found that the information or document(s) submitted by the recognized Guide or Research Supervisor, for recognition transpired to be forged, false or fabricated, as the case may be;
- 3) if any Guide or Research Supervisor of the affiliated College or Recognized Institution / Center creates obstacle in the smooth functioning of the University or any authority or committee of the University;
- 4) if on an enquiry made on the complaint of any research Scholar, it is proved that, the Guide or Research Supervisor is indulging in harassment of the concerned Scholar or creating obstacle in the research work of the complainant research Scholar;
- 5) the above provisions shall also be applicable to Co-Guide or Co-Research supervisor, as the case may be.

12. Eligibility Criteria for admission to M.Phil. Degree: -

- 1) A Master's Degree or Professional degree declared equivalent to master's degree by corresponding statuary regulatory body with at least 55% marks in aggregate or its equivalent grade 'B' in U.G.C. 7-point scale (or equivalent grade in point scale wherever grading system is followed). Or an equivalent degree from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of accessing, accrediting or assuring quality and standards of educational institutions.
- 2) Relaxation of 5% of marks, as from 55% to 50%, or an equivalent relaxation of grade may be allowed for those belonging to S.C./S.T./O.B.C. (non creamy layer) / Differently abled and other categories of Candidates as per the policy of the State Government, from time to time or for those who had obtained their Master's degree prior to 19th September 1991, and thereafter criteria prescribed by the UGC from time to time. The eligibility marks of 55% (or an



equivalent grade in a point scale wherever grading system is followed) and the relaxation as stated above are permissible, based only on the qualifying marks without including the grace mark procedure.

13. Eligibility Criteria for admission to Ph.D. Degree: -

The applicant willing to register for Ph.D. course as Ph.D. Scholar shall fulfill the eligibility conditions as mentioned below, namely, -

- 1) For non-health science post graduate degree holders, the eligibility criteria shall be as per rule 12 above.
- 2) For health sciences post graduate degree holders, the eligibility criteria shall be as under.,
 - a) the Candidate shall have the Post Graduate Degree qualification in the concerned subject of health Sciences or as the allied subject(s) notified by the University, Central Councils (if any).
 - b) the Candidate having Post Graduate Diploma, registered in the concerned Schedule of the Act of the respective Central Council or a Diplomat in National Board (D.N.B.) qualification, shall also be considered eligible, only if, such Candidate has published minimum two research papers in National or International Indexed / scientific Journal(s) with ISSN number;
 - c) the Candidate having Postgraduate Degree qualification in the allied subject in Health sciences, as enumerated in <u>Annexure-VI</u> and the subjects as may be specified and updated by the University, incorporating it in the list contained in the said Annexure, from time to time.
- 3) As per the approved list, if the Ph.D. Scholar possessing a Post Graduate degree from foreign University, he shall be required to submit the equivalence certificate of Indian Post Graduate degree, from the respective Central Council.
- 4) If a member of any authority of any University applying to be registered for Ph.D. degree, then he shall not participate or vote on any point or item of discussion related with Ph.D. degree before any authority or committee or sub-committee of the University.
- 5) In case, any Candidate, who is Ph.D. Guide or Research Supervisor of the University and intends to seek admission for Ph.D. degree, he shall not enroll any Ph.D. student under him till such time he completes his Ph.D. degree.



14. Duration of the M.Phil. and Ph.D. Course: -

- a) The Duration for M.Phil. programme shall be minimum of two consecutive semesters or one year and maximum of four consecutive semesters or two years.
- b) 1) The date of joining of the candidate at any recognized research institute, shall be treated as a provisional date of registration as declared by the University. However, the actual term for the Ph.D. course of selected Ph.D. Scholar shall be deemed to start from the date of approval of the Synopsis of his Thesis.
 - 2) The Ph.D. Scholars shall only be allowed to submit the Thesis after completion of three years for full time Candidates and four years for part time Candidates from the date of approval of Synopsis by the University.
 - 3) It shall be mandatory for all Scholars to submit six monthly progress reports, duly signed by the respective Guide or Research Supervisor, Co-Guide or Co-supervisor, as the case may be, Head of the Department and Head of the Institution for submission, to the University, preferably online or otherwise or as decided by the University from time to time, in Annexure VII (a) & (b).
 - 4) The maximum duration for submission of Thesis shall be six years, for full time and part time Candidates from the date of the approval of the Synopsis. However, the same may be further extended for a maximum period of two years for full time and part time Candidates, one year each, at a time, after submission of valid justification by the Ph.D. Scholar and by paying prescribed fees as determined by the University, from time to time. After the laps of extended period, no further extension shall be granted, and the registration of the Scholar shall stand cancelled and if the Ph.D. Scholar wishes to pursue the Ph.D. course thereafter, then he shall have to register again as a fresh Candidate, following the due procedure, including the Ph.D. Entrance Test.

Provided that, Candidates with more than forty percent disability and Women Candidates may be allowed further relaxation of one year for M.Phil. and two years during Ph.D. Course, in the maximum:

Provided further that, Women Candidates may be granted Maternity Leave or the Child Care Leave up to 240 days once in the entire duration of the M.Phil. / Ph.D. Course, in addition to the relaxation referred above.

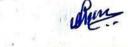
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- 5) A Ph.D. Research Scholar admitted as a full-time Candidate may be converted into a part-time Candidate or vice-a-versa, in special circumstances. Application for the conversion, in <u>Annexure-VIII</u> to be submitted to the University. After approval of the University, a full-time or Part-time Ph.D. Research Scholar may be allowed to pursue as a full time or part time Ph.D. Candidate, as the case may be, provided all the conditions mentioned in the existing of Ph.D. Directions are fulfilled.
- 6) Normally, a Candidate shall be required to complete his research under the direct supervision of his Guide or Research Supervisor at the recognized Center. However, a Candidate who is a University approved full time Teacher or the person in the Government Service, shall be permitted to complete the research work at his College or place of his duty or appointment. In such condition, it shall be mandatory for such Teacher Candidate or person in Government Service to work at least 100 days in the full tenure of the Ph.D. course, under direct supervision of his Guide or Research Supervisor at recognized center or place of Research, before submission of the final Thesis. The certificate in Annexure-IX from the Guide or Research Supervisor regarding mandatory attendance of the Ph.D. Scholar, along with signature of Head of concerned Institution shall be a pre-condition for submission of final Thesis, through the concerned College or Institution.
- 7) Notwithstanding anything contained in this Direction or in any other Rule or Regulation, for the time being in force, the Institution, College or Ph.D. center shall not conduct Ph.D. Programme through distance education mode.

15. Procedure for Admission: -

15.1. Entrance Test and Publication of Merit List: -

- 1) Faculty-wise, College / Center-wise and subject-wise seat matrix will be displayed by the University at the beginning of Entrance test.
- 2) The admission of the Candidates for M.Phil. and Ph.D. Degree shall be on the basis of Merit of entrance test (M.Phil. and Ph.D. Entrance Test) conducted by the University. Separate terms and conditions for Entrance test shall be notified and declared for those students who qualify UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/teacher fellowship holder/AYUSH NET or who have passed M.Phil. programme. Similar



- procedure shall be adopted in respect of Entrance Test for M.Phil. programme.
- 3) The entrance test shall be qualifying test with 50% qualifying marks together in both Papers i.e. Paper I & II of PET Examination for the candidates of open category and 45 % marks in PET Examination for the candidates belonging to reserved category. After the M.Phil. and Ph.D. entrance tests, Merit list of the Candidates, highest to lowest merit, shall be displayed by the University on it's website.

15.2. M.Phil. / Ph.D. Entrance Test and Enrolment for M.Phil. / Ph.D. course: -

- 1) The University may publish a Notification for inviting applications from aspirant Candidates for admission to M.Phil. and Ph.D. courses, taking into consideration the vacancy position as submitted by the concerned recognized M.Phil. and Ph.D. Centers, under all the faculties of Health Sciences, along with the details such as subject-wise vacancy position, reservation guidelines and list of M.Phil. and Ph.D. Guides or Research Supervisors. Application of the Candidate shall be considered by the University taking into consideration the vacant positions and availability of M.Phil. and Ph.D. Guides and recognized M.Phil. and Ph.D. Centers in the concerned subject only.
- 2) Application of the Candidate for the subject, other than specified subjects by the University, shall be rejected and no correspondence shall be entertained in that behalf, by the University.
- 3) The Entrance Examination shall be conducted at the Headquarters of the University, as far as possible, or at such place as may be determined by the University. The University shall organize Entrance Test for the purposes of admission to M.Phil. / Ph.D. course for Full-time and Part-time Ph.D. Candidates. The mode of examination shall be as decided by the University from time to time.
- 4) The Entrance Test shall consist of two papers having Multiple Choice Questions, as stated below, namely, -

Paper	I. a. Z. V.
100 marks- (50 Multiple choice questions of 2 marks each, time duration of one hour).	General Aptitude and Research Methodology,
Paper	II - Verence - Carl Store
100 marks- (50 Multiple choice questions of 2 marks each, time duration of one hour).	Knowledge Test on post-graduation subject applied by the Candidate.



Note: Knowledge Test paper shall be in the subject applied by the Candidate and the Candidate has to appear in both the papers.

- 5) In case the University is in receipt of more than one application from a candidate for Ph.D. Entrance Test, then such Candidates shall have to submit the option form and he shall be required to appear only for the opted subject. Regarding the remittance or refund of fees received in respect of such candidate, it shall be determined by issuing Notification by the University from time to time.
- 6) The University shall publish the General merit list of the Entrance Test on its official website, which shall be valid for a period of six months only.
- 7) Admissions shall be done based on General merit list of the Entrance Test (Highest to lowest marks) and as per roster published by the University from time to time.

15.3. Final Selection of Candidates and allotment of Research Guide / Center: -

- 1) The University shall publish first admission schedule, after publication of the P.E.T. merit list on the official website, for the purposes of Ph.D. admissions. It shall mention the period for filling up admission preference form, the date of display of the Merit list at the Ph.D. Research Center, the period of Counseling, etc. along with general Guidelines, as notified by the University, from time to time.
- 2) The Candidate shall submit his preference for his choice Research Centers, within the period as may be notified by the University. The University shall prepare and follow faculty wise roster for reservation of seats available at the respective Center, as per constitutional reservation rules and publish it on the official website of the University.
- 3) The University shall prepare subject-wise and Center-wise distribution list of the Candidates as per general merit list and preferences submitted by the Candidates and put up before the Vice-Chancellor for the approval. The Vice-Chancellor may constitute committee for conduct of admission process.
- 4) The Research Center shall communicate the list of admitted students within time schedule published by the University.
- 5) The selected Candidates shall report to the Research Center and confirm his admission, within time schedule published by University. After completion of first round of admission, the Ph.D. Research Center shall communicate the vacancy position to the University within time schedule published by University. The University shall fill up the vacancies from general merit list in second round of admission.

- 6) After completion of all admission process, waiting list shall be prepared which shall be valid for the next six months from the date of last admission made in that year.
- 7) Allotment list of the Ph.D. Center shall be published on the University website. The Candidates shall finalize their admission in the allotted Ph.D. Center and submit their provisional registration form, retention form, along with necessary documents and the notified fees, within the time schedule published by the University.
- 8) If a candidate submits his retention form along with provisional registration form, then his seat will be treated as admitted seat. Such candidates shall not be eligible for second round of admissions.
- 9) The Candidates who have not submitted their retention form along with provisional registration form, their allotted seats shall be treated as vacant seats and those seats shall be published for second round. These Candidates will be eligible to submit their preferences in second round.
- 10) It shall be mandatory for In-service Candidates to apply for full-time or part-time, Ph.D. programs through proper channel, along with the No Objection certificate of their respective employer in <u>Annexure-X</u> or <u>Annexure-XI</u>, as the case may be.
- 11) As per section 76 of the said Act, a Candidate to be enrolled as student of the University, shall be required to obtain eligibility of the University.
- 12) Candidates shall follow the guidelines given by the University by Notifications / Circulars issued, from time to time.

16. Change of Research Center: -

- 1) In no circumstances other than the following exceptional cases the change of research center shall be allowed, if the Guide/Supervisor is transferred to other College or Research Center and if there is no Guide/Supervisor of the concerned specialty available at the research center of the Scholar or due to any other compelling circumstances such as, if the Guide/Supervisor of the concerned specialty is not available at the research center.
- 2) In such cases, the No Objection Certificates (NOC) from the current and proposed research center and the N.O.C. from the Guide or Research Supervisor in <u>Annexure XII</u> shall be required to submit through the Dean/Principal of the research center:

Provided that, it shall be mandatory for the research center/College where the Scholar proposes to work should have the minimum teaching,



Clinical, and Laboratory facilities with the minimum Clinical material required for the research.

3) The Scholar shall have to submit the six monthly attendance reports from the current Institute in <u>Annexure - XIII</u>, apart from the six monthly progress reports to be submitted through the new research center. Such Scholars who intending to do research at a place other than their parent Institute shall be designated as part time research Scholars and all the relevant rules for part time Scholars shall be applicable to them.

17. Provisional Registration: -

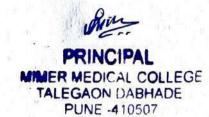
After successful admission process, the date of the admission of the Candidate at any Research Center or College or Recognized Institution shall be treated as a provisional date of Registration. However, the actual term of Candidate shall deemed to start from the date of approval to the Synopsis for Ph.D. Scholars.

18. Course Work: -

- 1) After registration and within six months of the date of final registration, the admitted Candidates shall be required to undertake the course work organized by the Institution or Research Center, as the case may be. All candidates admitted to the M.Phil. and Ph.D. programmes shall be required to complete the course work within a minimum period of one semester. The part time Ph.D. Scholars also will have to complete the minimum one semester residential programme of the course work at the assigned research center under allotted research guide. All the Candidates shall be required to submit the Certificate of participation in course work for one semester in the prescribed Course Work Assessment Report in Annexure-XIV, which is to be certified by the Guide or Research Supervisor, the Head of the Department and the Head of the Institution. If the Ph.D. Scholar fails to satisfactorily complete the course work within the time limit, then admission of such Scholar shall be liable to be cancelled.
- 2) The course work shall be compulsory and same shall carry 16 Credits for Ph.D. Scholars and 8 credits for M.Phil. Candidates.

The bifurcation of these credits are as under. -

a) 4 credits for Research Methodology, including quantitative methods, computer application, tools and techniques including instrumentation, communication skills, research ethics and review of published research. This course work shall be treated as pre-requisite for M.Phil. and Ph.D. preparation to be completed by attending University Organized or



University Approved workshop on Advanced Research Methodology of not less than 6 days' duration;

- b) 8 credits for the specific subjects' (2 subjects) course work.
- c) i) 4 credits for self-study course on Recent Advances in the subject Concerned (subject under which the Scholar is registered for M.Phil. /Ph.D. course)
 - ii) 4 credits for Assignment and Research Seminar related to area of research for Ph.D.
 - iii) The Scholar shall make a presentation on the research work with special emphasis on Review of Literature, Methodology, dummy tables and findings of Pilot study. The presentation will be submitted to the department as a project report.
 - Ph.D. guide from similar research discipline or Research Methodology expert from the panel list of University) during assessment of seminar.
- d) 4 Credits for Academic activities such as Seminar presentation, Book Review or Hands on training in research related field of not less than 6 days duration or Participation in workshop related to research area of not less than 3 days duration or Paper presentation in National or International conference. The research Scholar shall make presentations of any one of these academic activities in front of Research Advisory Committee (RAC) and submit the presentation (with evidence of participation) to the department as an assignment. The Research Center will invite an External Examiner (Approved Ph.D. guide from the similar research discipline) during assessment of academic activities.
- 3) The syllabus, evaluation procedure and examination schedule of the course works shall be finalized by the RAC under intimation to the University and shall be communicated to the M.Phil./Ph. D. Scholar. The Research Methodology Course and Self Study Course on Recent Advances in the subject concerned shall be evaluated by a 50 marks theory examination of two hours duration each. The Research Seminar and Academic Activities shall be evaluated by a 50 marks viva voce examination, each at the time of presentation by the research Scholar. The evaluation and grades in the course work, including research methodology courses shall be finalized after combined assessment by the Research Advisory Committee and the



Department as per University directives. After successful completion of the course work, the final grades shall be communicated to the institution/College/center under intimation to the University in the notified Course Work Assessment Report format in Annexure-XIV. The research Guide will work as the Convener for the Course Work Examinations. The M.Phil. and Ph.D. Scholar has to obtain minimum 55% of marks or its equivalent grade in the course work, out of total assessment of 100 and 200 marks for M.Phil. and Ph.D. Scholars, respectively, in order to be eligible to continue in the program and shall submit the Dissertation / Thesis.

4) If the research Scholar is unable to complete the course work within the stipulated time or is unable to qualify in the course work assessment examinations, a Ph.D. Scholar may be given a one-time extension of six months for completing the course work satisfactorily, after obtaining permission from the University. No further extension shall be permissible and the registration of the Scholar shall stand cancelled afterwards.

19. Submission of Synopsis: -

- 1) The admitted Ph.D. Scholar shall submit copy of his Research proposal (Synopsis) online or as notified by the University, duly signed by the Research Guide or Research Supervisor, through the Head of the Department and Head of the Institution in Annexure-XV within five months from the date of provisional registration. The admitted M.Phil. Scholar shall submit copies of his Research proposal (Synopsis) online or as notified by the University, duly signed by the Research Guide or Research Supervisor, through the Head of the Department and Head of the Institution in Annexure-XV within three months from the date of provisional registration. After this time limit, late submission of synopsis will be allowed with late fees notified by the University from time to time, for a maximum period of one month, with the prior permission of the Vice Chancellor for valid reason. After this period, the submission of synopsis shall not be allowed / considered by the University for any reason and Registration fees of the research Scholar shall not be refunded in any circumstances and the registration of the student shall stand cancelled.
- 2) The Research proposal (Synopsis) shall be submitted only after obtaining approval or recommendation of the Institutional Ethics Committee (IEC) of the College or institution and copy of same shall be submitted to the University along with the Research proposal.



- 3) The Synopsis or Research proposal received, shall be forwarded to the expert in the concerned specialty or subject by the University for recommendation(s), comment(s) or suggestion(s) (if any) of the expert through any communication media.
- 4) The recommendation(s) or comment(s) or suggestion(s), if any, received from the concerned expert along with Research proposal shall be placed before the Board of Research.
- 5) Accordingly, the M.Phil. and Ph.D. Scholar and concerned Guide or Research Supervisor shall modify the proposal as per the amendments or corrections, if any, suggested by Board of Research, and he shall resubmit the same.
- 6) If, the Title and / or methodology of research in synopsis is completely rejected by the experts, the Scholar shall be given only one opportunity to resubmit the new Title synopsis within 2 months from the date of communication about rejection with the late fees as notified by the University.

20. Change of Research Topic: -

Normally, no change of research topic shall be allowed after the approval of Title and Synopsis and after allotment of Permanent registration number by the University. However, in an exceptional circumstance, the request for change of topic may be entertained by the Board of Research with valid / genuine reasons taking on record and the decision of Board of Research shall be final and binding.

21. Final Registration: -

- 1) After the due approval to the Synopsis or Research proposal of the M.Phil. and Ph.D. Scholar, by the Board of Research, the final registration shall be issued to the M.Phil. and Ph.D. Scholar by the University.
- 2) No major change in the Topic and Synopsis shall be allowed after final Registration, provided that, in exceptional circumstances the request for major change in Topic or Synopsis may be considered by the Board of Research and in such case the period for the M.Phil. and Ph.D. shall be counted from the date of approval letter of the Board for changed Topic or Synopsis.

22. Monitoring of Research Work: -

Research Scholar should make six monthly presentations of progress made and plan (next six months) of the intended research work in front of Research Advisory Committee. The record of such presentation with queries raised by committee and suggestions given and the corrections made should be



presented by the M.Phil. and Ph.D. Scholar when he will present subsequent progress report. The progress report should be sent to University in **Annexure VII**, signed by the Guide and Chairman of the Research Advisory Committee.

23. Annual Progress Review of Ph.D. Research of Scholars: -

At the end of every year after approval of synopsis, Annual Progress Review will be conducted by the Research Advisory Committee of the research center. The Scholar shall make a presentation on the research work and it shall be submitted to the department as a project report. The research Guide will work as the Convener for this presentation of Annual Progress Review. It will be mandatory for the Research Center to invite an external examiner (approved Ph.D. guide from similar research discipline or Research Methodology expert from the panel list of the University) during assessment of presentation. This panel shall evaluate the research work of the Ph.D. Scholar in Annual Progress Review Format Annexure XVI and submit the report along with the six monthly progress report to the University.

24. Pre-M.Phil./Pre-Ph.D. Viva Voce and Presentation: -

Prior to the submission of the Dissertation or Thesis, the Scholar shall make the presentation in the concerned Department of the recognized Institute or the Center, before the Research Advisory Committee of the Institution concerned, which shall also be open to all faculty members and other research Scholars. One external subject expert (mandatory) from the approved panel of University shall be called for the Pre-M.Phil. /Pre-Ph.D. viva obtaining due permission from the University. The Guide of concerned Scholar shall intimate the University regarding dates of Pre Viva at least one month in advance and co-ordinate with the University for the same. The feedback and comments obtained from the committee may be suitably incorporated into the draft Dissertation or Thesis, in consultation with the Research Advisory Committee. The report of Pre-M.Phil. / Pre-Ph.D. viva voce should be submitted in Annexure-XVII, duly signed by the Chairman of Research Advisory Committee, the external subject expert and research guide. The report should provide details about the course work, the progress reports, and research publications of the Scholar apart from assessment of the draft Thesis.



25. Submission of Draft Thesis (Ph.D.) / Dissertation (M.Phil.), -

- 1) After successful Pre-M.Phil./Pre-Ph.D. Viva Voce / presentation and subsequent fulfillment of queries, amendments or modifications, etc., as suggested by the Research Advisory Committee of the research center, the Candidate shall be eligible to submit the draft Thesis.
- 2) The Candidate shall submit one hard copy of draft Thesis / Dissertation in spiral binding, along with two pen drives containing soft copies in searchable PDF format, with a Certificate for successful Pre-M.Phil. /Pre-Ph.D. Viva Voce or Presentation, before Research Advisory Committee of the Institution, along with a covering letter.
 - i) The draft Thesis / Dissertation shall be typed in Arial or Times New Roman Font, in the font size 12, in double spacing.
 - documents to the research center and the University shall submit an undertaking indicating that the document has been prepared by him or her and that the document is his/her original work and that the Research work from other sources has not been included, except the citation or examples quoted and is free of any plagiarism, in Annexure-XVIII. The undertaking shall include the fact that the document has been duly checked through a Plagiarism detection tool approved by the Research center. Each supervisor shall submit a certificate indicating that the work done by the researcher under him / her is plagiarism free. Every research center shall develop their own anti plagiarism policy as per the guidelines of UGC and publish it on their website.
 - 3) The material which has been obtained from the other sources shall be duly acknowledged in the draft Dissertation / Thesis by the M.Phil. / Ph.D. Scholar. If the material obtained from the other sources has not been duly acknowledged, then the research Scholar shall be held responsible for Plagiarism. The Scholar shall be made aware about the consequences of Plagiarism. In case of Plagiarism, the M.Phil. and Ph.D. Scholar shall be liable to be punished by way of withdrawal of the Dissertation and M.Phil. and Ph.D. Degree even if already awarded as well as is liable for a legal action under relevant provisions.

26. Verification of Plagiarism: -

1) On receipt of the draft Thesis / Dissertation from the Candidate, it shall be sent to the Expert, nominated by the Vice-Chancellor for verification of



- plagiarism in the Research work in the Thesis/ Dissertation, or which shall be verified through the software or by any other manner, as per the policy decided by the University, in that behalf.
- 2) After receipt of the satisfactory report through the software and from the expert, the Candidate shall be eligible to submit final Thesis/ Dissertation.
- 3) In case, if an unsatisfactory report is received from Anti Plagiarism expert, then the University shall convey the matter to the concerned Candidate and the Research Advisory Committee, which may initiate an appropriate action for re-submission. As per the UGC norms in respect of Plagiarism, for level one similarities between 11 to 40%, the Scholar will have to resubmit the revised draft Thesis/ Dissertation within period of six months along with the fees as notified by the University. For level two -similarities between 41 to 60%, the Scholar will be debarred from submitting the revised draft Thesis/ Dissertation for a period of one year, provided that, the total duration of M.Phil. and Ph.D. course shall not exceed maximum permissible duration, respectively.
- 4) In case of level three similarities above 60%, the registration of the candidate shall be cancelled.
- 5) The Departmental Academic Integrity Panel (DAIP) chaired by the Head of Department with senior academician and person well-versed with antiplagiarism tools, as members should be constituted at the departmental level for handling and investigating case(s) of plagiarism.

27. Submission of Final Dissertation / Thesis: -

- 1) After successful Pre-M.Phil. and Pre-Ph.D. Viva Voce or Presentation and subsequent fulfillment of queries, amendment or modification, etc., as suggested by the Research Advisory Committee of the Institution, and anti-plagiarism assessment the Candidate shall be eligible to submit the final Dissertation / Thesis.
- 2) The Candidate shall submit four hard copies of the final Dissertation/Thesis along with a pen-drive containing soft copy in PDF format, and 10 copies of the summary of the Dissertation / Thesis along with a Certificate for successful Pre-M.Phil. and Pre-Ph.D. Viva Voce or Presentation, before Research Advisory Committee of the Institution, along with a covering letter to the University.



3) The final Dissertation/Thesis shall be typed in Arial or Times New Roman Font, in the font size 12, in double spacing. It shall be well bound. No Spiral binding final Thesis shall be accepted.

28. Appointment of Examiners or Referees: -

- 1) The exhaustive panel of Examiners or Referees for Dissertation/Thesis evaluation and conduct of open Viva Voce and Defense, duly recommended by the Board of Research, shall comprise of preferably active recognized M.Phil. and Ph.D. Guide or Research Supervisor or PG Guide. The Board of Examinations may also appoint examiners or referees from the exhaustive panel of eligible Post Graduate Guides who fulfil the criteria to become M.Phil. /Ph.D. Guides or Research Supervisor. Appointment of Examiners or Referees shall be done by a committee as per the provision under clause (a) of sub-section (5) of section 31 of the said Act. along with two persons nominated by the Academic Council.
- 2) For Ph.D. Thesis Evaluation, there shall be the panel of three examiners, out of them, one examiner shall be Internal Examiner, who may be the M.Phil. or Ph.D. Guide or examiners from the exhaustive panel of eligible Post Graduate Guides who fulfills the criteria to become eligible for M.Phil. and Ph.D. Guide / Supervisors or any other Examiner within the jurisdiction of the University and other two External Examiners shall be from out of the State. In case of refusal/non acceptance from any examiner so appointed, substitute examiner shall be appointed by the University from the panel approved by the 31 (5) (a) Committee or list approved by Board of Research Committee or the Dean of Faculty or as the case may be. In case of refusal or non-availability of the external examiner, a recognized Ph.D. Guide or Research Supervisor or who fulfills the criteria to become eligible for M.Phil. and Ph.D. Guide / Supervisors from any Deemed University of Health Sciences, may be appointed.
- 3) For M.Phil. Dissertation Evaluation, there shall be one External Examiner from out of State. In case of refusal/non availability of the examiner so appointed, substitute examiner shall be appointed by the University from the panel approved by the 31 (5) (a) Committee or List approved by Board of Research Committee or the Dean of Faculty or as the case may be.

29. Evaluation of Dissertation / Thesis: -

1) The three examiners (both internal and external) for Ph.D. and One External Examiner for M.Phil. Course shall be appointed by the Board of Examinations



in accordance with the provisions of Section 31(5)(a) of the MUHS Act 1998, to examine the Dissertation / Thesis. The Controller of Examinations shall communicate each examiner, by any of the two means, i.e., by e-mail or by post or by telephonic communication or by any means, as approved by the University. It shall be mandatory for the Examiner to communicate acceptance or non-acceptance of his appointment to the Controller of Examinations. In case of refusal or non-acceptance from any examiner or no communication is received from the examiner within a period of two weeks from date of communication, it shall be treated as cancellation of his appointment. Substitute examiner shall be appointed by the University from the panel approved by the 31 (5) (a) Committee or List approved by Board of Research Committee or the Dean of Faculty or as the case may be.

- 2) In case of acceptance of examinership for assessment, the Controller of Examinations shall forward the Dissertation / Thesis along with summary thereof to such examiners.
- 3) The respective Examiner shall independently send his Dissertation / Thesis assessment report along with copy of the Dissertation / Thesis, to the Controller of Examinations within sixty days from the date of receipt of the Thesis for Ph.D. and within 30 days, for M.Phil. If any examiner fails to do so, the Controller of Examinations shall, immediately, after the expiry of the said period, request him to submit the report along with the Dissertation / Thesis within a period of fifteen days. If the concerned examiner fails to comply within the extended period, the Vice-Chancellor shall cancel his appointment forthwith and a new Examiner shall be appointed from the existing panel of Examiners. In case of request for the late submission of the report or late receipt of the report after the appointment has been cancelled or the loss of report or postal delay, etc. the Vice-Chancellor shall take an appropriate decision in the matter.
- 4) The examiners shall submit the Dissertation /Thesis assessment report in Annexure-XIX and shall make one of the following recommendations, namely, -
 - a) The Dissertation / Thesis is acceptable for the award of the Degree, provided it contains substantial points and shows significant contribution in existing scientific knowledge;
 - b) The Dissertation / Thesis is acceptable, subject to revision / modification / corrections, if any, of certain points as suggested by the Examiner;

- c) The Dissertation / Thesis is not acceptable, in this case the examiner shall mention precise reasons in writing, for the non-acceptance,
- d) If both the External examiners recommend for acceptance of the Dissertation / Thesis for the award of the Degree, the Dissertation / Thesis shall be accepted and it shall be processed for open Viva Voce and Defense of the Thesis for award of Ph.D. degree. There shall be Viva Voce examination of the Dissertation of M.Phil. Scholars as a part of final University examinations and the degree will be awarded after passing final University examinations.
- e) In case of suggestions given by the examiners, the Candidate shall be required to re-submit the changes incorporated in the thesis should be highlighted in two hard copies in pages along with soft copy of the revised Ph.D. Thesis to the University within the period of one month and M.Phil. Dissertation within 15 days from the date of its receipt, for its further submission to the examiner(s) who have suggested the changes. The examiner(s) shall re-submit their report in Annexure-XX. The examinee is required to submit three hard copies of revised Ph.D. Thesis along with pen drive before final Ph.D. open Viva Voce and defence of Dissertation/Thesis. If the Dissertation / Thesis is not received within stipulated time period, then it will not be accepted by the University.
- f) In case of non-acceptance of the Ph.D. Thesis by one of the External examiners and the other External examiner recommending its acceptance, then the evaluation report of the internal examiner shall be taken into consideration. In such cases, the Thesis shall be accepted and processed for the open Viva Voce and Defense of the Thesis; provided that two examiners (including the internal examiner) have accepted the Thesis,
- g) In case, where two out of the three examiners have given an unfavorable or non-acceptance or rejection report, then the Vice-Chancellor shall get the Thesis examined by another additional examiner, from the panel of examiners approved by the Board of Examinations. If the additional examiner also gives an unfavorable or non-acceptance or rejection report, then, the Candidate shall be deemed to be failed and it shall be informed accordingly to the Candidate and the registration of such Candidate shall stands cancelled.



- h) M.Phil. Dissertation shall be evaluated by one external examiner from out of State by the University. If the dissertation is accepted by the external examiner, the examinee shall be eligible to appear for theory and practical examination of the University. The examinee whose dissertation is not accepted, will not be eligible to appear for theory and practical examination of that particular year. However, such examinee shall submit fresh dissertation for next examination.
- i) The Scheme of Examinations and final evaluation of M.Phil. programme shall be based on theory, case reports, Dissertation, clinical examination and viva voce including submissions.

30. Eligibility for Submission of Final Ph.D. Thesis and M.Phil. University Examination: -

The following pre-conditions are to be complied before Submission of Final Ph.D. Thesis and M.Phil. University Examination, namely: -

- 1) Satisfactory completion of course work.
- 2) Satisfactory completion of six months residential course work for part time Ph.D. Scholars.
- 3) Synopsis approval.
- 4) Allotment of Permanent registration number.
- 5) Eligibility letter of the University.
- 6) Satisfactory completion of Annual Progress Review at the end of every year after submission and approval of synopsis for Ph.D. Scholars.
- Satisfactory completion and timely submission of six monthly progress reports.
- Publication of at least one research paper in referred Journal for Ph.D. Scholar.
- 9) Presentation of two research papers in conferences/seminars for Ph.D. Scholars and at least one research papers in conferences/seminars for M.Phil. Scholars.
- 10) Attendance certificate from the research guide and research center of more than 75% attendance for full time Ph.D. Scholar and 80% attendance for M.Phil. Candidate minimum of 100 working days during total duration for part time Ph.D. Scholars.
- 11) Plagiarism verification report.

31. Open Viva Voce and Defense of Dissertation / Thesis: -

For Open Viva-voce and Defense of the Thesis for Ph.D., there shall be a separate Viva Voce Committee of three members which shall include, -

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- a) The Guide or Research Supervisor of the Candidate, who shall be the Convener cum Internal examiner,
- b) One External examiner, who has examined and recommended for acceptance of the Thesis,
- c) One subject expert, appointed by the Vice-Chancellor.

This committee shall evaluate the performance of the research Scholar during the Viva-Voce and the open Defense.

- 1) On receipt of the positive recommendation reports from any two of the three examiners (including internal and external) for the award of Degree, the University shall arrange for the Candidate's Open Viva Voce and Defense of the Thesis.
- 2) The date of Open Viva Voce and Defense of Thesis shall be determined in consultation with the External Examiner. The place of the Open Viva Voce and Defense of the Thesis shall be determined by the University. It shall be the duty of the Guide or Research Supervisor or Head of the Department to circulate the notice of Open Viva Voce and Defense of the Thesis stating the Research Topic of the Thesis to the other Departments, at least 48 hours before the test and Defense to enable the other faculty members and candidates to attend the same.
 - 3) The date of open Viva Voce and Defense shall be arranged in consultation with the External Examiners. However, in case, if it is not possible for any External examiner to remain present for the test and the Defense, then the said fact shall be brought to the notice of the Vice-Chancellor for appointment of the another external examiner, and the copy of Thesis shall be forwarded to such external examiner. Where the internal examiner is not available, the Vice-Chancellor shall appoint one of the senior research Guides or Research Supervisors in the subject concerned, from the panel of recognized research Guides or Research Supervisors in the subject or allied subject, to act as an Internal Examiner.
 - 4) The M.Phil. and Ph.D. Scholar shall make a presentation of his Dissertation/ Thesis, covering the background, objectives, methodology, results or outcome and the conclusions of his study. The examiner and those attending the open Viva Voce and the Defense may participate, by asking relevant questions. The questions those may be asked during the Viva Voce shall be of constructive type, non-teasing in nature and shall lead to logical discussion on the Topic of the Dissertation/Thesis. No member of the audience shall have right to



comment on the acceptability or non-acceptability of the Thesis for the award of the Ph.D. Degree. The examiners shall adjudge the answers given by the Scholar to the queries of the audience and appropriately consider it, while preparing the report. The decision of examiners shall be final and binding in respect of the query raised by the audience.

- 5) The final consolidated report about the award of the Degree shall be submitted to the Controller of Examinations by the Guide or Research Supervisor and the external examiner, immediately after the Defense is over, in a prescribed format in <u>Annexure-XXI</u>. This submission shall be specific and it shall contain recommendation for the award of the Degree, or a fresh Viva Voce, after a specified time, if the performance of the candidate is not satisfactory.
- 6) In case, the Open Viva-Voce and the Defense is not satisfactory, the examiners may, by majority, recommend with reasons in writing, the specific comments regarding unsatisfactory performance of the Candidate. The Candidate shall act upon the comments accordingly, and face the Viva Voce again, after the period of three months before the same examiners.
- 7) In case, Open Viva Voce and the Defense is scheduled by the University and the Candidate remains absent for it, his viva voce shall be re-scheduled by the University, after a period of three months, as per the convenience of the University.
- 8) If any Candidate is enrolled as Ph.D. Scholar and his Guide or Research Supervisor happens to be the member of the Committee or a referee, in view of the provision of clauses (a) and (d) of sub-section (5) of section 31 of the said Act, then, in such case, the concerned member of the Board shall not conduct open Viva Voce and Defense of the Candidate. However, such member may remain present for the Open Viva Voce and Defense and he shall be entitled for Travelling Allowance and Daily Allowance.
- 9) The M.Phil. Dissertation shall be evaluated by his/her research guide and at least one external examiner. The Viva Voce examination, based among other things, on the evaluation reports, shall be conducted by both of them together and shall be open to attending members of the research adversary committee, all faculty members of the department, other research Scholar and other interested experts/researchers.



32. Final Result: -

- 1) The overall result of the Open Viva Voce and Defense of the Thesis shall be declared under Notification by the Controller of Examinations within fifteen days from the date of receipt of acceptance of Defense of the Thesis. The Controller of Examinations shall issue a Provisional Degree Certificate to the Scholar on his request, certifying the Degree has been awarded in accordance with the provisions as prescribed by the University, the UGC Regulations and the concerned Council (if applicable). The result of M.Phil. examination will be declared as per the provision made under section 56 of MUHS Act. 1998.
- 2) Once the Ph.D. Degree is awarded to the Candidate, one soft copy of the Thesis shall be kept under the custody of the Controller of Examinations. The hardcopy and soft copy of the Thesis shall be deposited to University Library, and concerned Ph.D. Research Center shall maintain one copy at its level.
- 3) Depository with Information and Library Network (INFLIBNET) Center, -Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the concerned Institution, shall submit an electronic copy of the Ph.D. Thesis to the Information and Library Network INFLIBNET, for hosting the same so as to make it accessible to all the Institutions or Colleges.

33. Redressal of Dispute: -

In case the Research Scholar is unable to do his Research work due to any dispute between a Research Scholar and his Guide or Research Supervisor or the Research Center, or the dispute regarding admission or any other dispute concerned with research work, the Redressal Committee consisting of the following, shall examine the matter after hearing both the parties and report to the Vice-Chancellor, whose decision shall be final, namely. —

- a) The Dean of the concerned faculty...... Chairman,
- b) One subject Expert, to be nominated by the Vice-Chancellor...... Member,
- c) The Controller of Examinations...... Member,
- d) The Registrar..... Member Secretary.

34. Grant of recognition or affiliation as M.Phil. / Ph.D. Research Center: -

1) The desirous College / Institution shall apply to the University for Recognition or Affiliation as M.Phil. / Ph.D. Research Center in Annexure-XXII along with the prescribed initial one-time recognition fees, and for continuation or recognition of College or institution or laboratories shall have to pay annually

- the continuation or recognition fees as prescribed by the competent authority of the University, from time to time.
- 2) On receipt of the proposal along with the prescribed fees, the University shall inspect the concerned institution by appointing Local Inquiry Committee (L.I.C.). The Committee shall carry out the inspection of the concerned institution and submit its report in Annexure-XXIII. On receipt of said (L.I.C.) report, after due scrutiny, the concerned section of the University shall place the report before the Academic Council for its consideration. The decision of the Academic Council shall be final and same shall be communicated by the University to the concerned College or Institution.
- 3) The process of grant of affiliation and continuation of affiliation as prescribed by the University shall be mutatis-mutandis applicable to the Institution to be recognized as M.Phil. and Ph.D. research Center(s) or Institution(s) or College(s) as may be considered eligible to offer M.Phil. and Ph.D. programs. Further, such Centre shall be at par with the teaching institute of concerned subject / faculty in which the Centre requests to recognize for the M.Phil. and Ph.D. programme. Such Centre shall satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities, adequate facilities for research. In case of specific discipline, exclusive research laboratories equipped with sophisticated equipment and instruments as may be required, along with computer facilities and essential software, library for reading, writing and storing study and research materials; and an uninterrupted power and water supply and any other such facility as may be prescribed by the University, from time to time. For academics, Administrative and Infrastructure requirement for M.Phil. / Ph.D. centre refer clause 2.2 of the instant Direction.
- 4) No Research Center shall be recognized as a place of work for research unless at least two M.Phil. and Ph.D. Guides or Research Supervisors are available at that particular place of work. However, those Colleges or Institutions already recognized for respective subject may be accorded continuation of affiliation or recognition, provided that they fulfil all other conditions as verified by Local Inquiry Committee, though, there is no recognized Guide or Research Supervisor at the time of continuation, subject to the condition that the M.Phil. and Ph.D. students shall be allotted to such Colleges or Institutions only after recognized Guide or Research Supervisor is made available.



- 5) The Affiliated Colleges or approved or recognized Institutions having Post Graduate courses shall fulfill all the norms of minimum standard and requirements, as prescribed by the respective Central Council / National Medical Commission, for Post Graduate Courses, for its Recognition as a place of research for M.Phil. and Ph.D. Degree.
- 6) The research center recognized by the University or conventional University or any other Central or State University shall be considered as valid place of research in the field of Health Sciences.
- 7) The Human and Animal Ethics Committee, duly registered under the appropriate authority shall be mandatory for all Institutions which have been recognized as M.Phil. and Ph.D. Research Centers.
- 8) The affiliated Colleges / Institutions recognized by the erstwhile non-agricultural Universities as M.Phil. and Ph.D. Research Center in the Faculties of Medicine, Dental, Ayurved and Unani, Homoeopathy and Allied Health Sciences, shall be deemed to be recognized by this University as M.Phil. and Ph.D. Research Center for such specific period, up-to the award of Ph.D. Degree to the last student admitted for M.Phil. and Ph.D. Degree by the erstwhile non-agricultural University. However, it shall be necessary for the said College or Recognized Institution/Center to submit proposal for continuation of recognition as M.Phil. and Ph.D. Center to this University, along with prescribed fees. After award of Ph.D. Degree, to the last student admitted in such College or institution by the erstwhile non-agricultural University, if such Institution desires to continue to be the recognized Institution of this University, in such event, the College or institution shall have to apply afresh for recognition as Ph.D. Research Center, in Annexure-XXII. After following due procedure, the Academic Council may approve the College or recognized institution/Center as a recognized Ph.D. Research Center of the University. The decision of the Academic Council shall be communicated to such College or Institution or Center in writing by the University.
- 9) The Health Sciences related Industries or Research Center or Laboratories may apply for recognition as M.Phil. and Ph.D. Research Center, provided that, they have sufficient infrastructure at par with the teaching institute and having advanced technical facilities in the concerned area or subject of research. The process of grant of affiliation and continuation of affiliation, prescribed by the University shall, mutatis-mutandis, be applicable to the Institution to be recognized as Research Center. Such research centres shall



have academics, Administrative and Infrastructure requirement for M.Phil. / Ph.D. centre mentioned under clause No. 2.2 of the instant Direction.

10) Incomplete proposals shall not be considered by the University and may be returned to the concerned College or institution or Center, and the Colleges or institutions or Centers shall be solely responsible for the same.

35. Continuation of Recognition of Research Center: -

- 1) The University by appointing Local Inquiry Committee may carry out periodical inspection after every five years, for continuation of recognition of Research Center. It shall be necessary for the recognized Center to submit the proposal along with requisite fees as prescribed by the University, from time to time. The Institution shall be required to submit the proposal in Annexure-XXIV along with fees for continuation of the M.Phil. and Ph.D. Research Center, every year before 31st October. On receipt of the proposal, the University may appoint Committee to inspect the infrastructural and research facilities available there. The report received from Local Inquiry Committee, if appointed, shall be placed before the Academic Council. The decision of the Academic Council regarding continuation of recognition shall be final. The University may reserve right to inspect such collage or Institution every year, or as and when it deems fit.
- 2) If Research Center fails to submit continuation proposal on or before 31st October, then 10 % late fee will be charged every month after due date up to three months. After this period, proposal shall be accepted with permission of the Vice Chancellor with above late fees and fine as may be notified by the University, from time to time.
- 3) Incomplete proposals shall not be considered by the University and may be returned to the concerned Research Center, and the Research Center shall be solely responsible for the same.
- 4) The rules prescribed by the University in view of Local Inquiry Committee (L.I.C.) shall, mutatis mutandis, be applicable to the Institution's recognition as M.Phil. and Ph.D. Research Center.

36. Miscellaneous: -

1) The subjects of Health Sciences, in any of the faculties of Medicine, Dentistry, Ayurved and Unani, Homoeopathy and Allied Health Sciences, which are more particularly described in <u>Annexure-I</u> in the list of subjects, may be amended by the University, incorporating new subjects in the list, from time to time.



- 2) The necessary fees to be charged at various stages of the entire process shall be such as may be prescribed by the University, from time to time, which shall be notified separately on the University website.
- 3) The M.Phil. and Ph.D. Scholar shall not claim Degree of M.Phil. and Ph.D., merely on the basis of his admission to the M.Phil. and Ph.D. Course or his appearance in the Open Viva- Voce and Defense.
- 4) Ordinarily degree of Ph.D. shall not be awarded unless there is Significant contribution made by the Scholar to the existing scientific knowledge.
- 5) The Rules and Regulations of the UGC promulgated for award of M.Phil. and Ph.D. Degree in Health Sciences from time to time, shall be adopted and be applicable. The University shall alter or amend any rules or provisions in this direction, accordingly, at any stage and they shall be final and binding.
- 6) In respect of the matters relating to the subject dealt in this Direction but which are not specifically covered under this Direction, if any query regarding the interpretation of any clause of this Direction arises, then it shall be decided by the Vice-Chancellor and the decision of the Vice-Chancellor shall be final and binding.
- 7) This Direction shall remain in force till an Ordinance is made in that behalf by the University and assented by the Hon'ble Chancellor.
- 8) The gender 'he' shall mean male gender and it shall include all the other genders.

Sd/-

Prof. Dr. Deelip Mhaisekar,

Vice-Chancellor.

Date:- 07/01/2020

Place:- Nashik.

